### INTRODUZIONE ALL'AI E AL MACHINE LEARNING PER SPECIALISTI DELL'INGEGNERIA – QUARTO INCONTRO

AI GENERATIVA E LARGE SCALE LANGUAGE MODELS



- CONVEGNO ON LINE I: Martedì 10 Ottobre, ore 15.00 17.00
- Introduzione ai sistema informativi, Introduzione alle applicazioni data-driven: dalle basi di dati ai dati di addestramento per l'Al, Elementi di Data Management: dai modelli relazionali alle basi di conoscenza.
- CONVEGNO ON LINE 2: Martedì 17 Ottobre, ore 15.00 17.00
- Introduzione all'Intelligenza Artificiale: tra rappresentazione della conoscenza, ragionamento e apprendimento automatico
- CONVEGNO ON LINE 3: Martedì 31 Ottobre, ore 15.00 18.00
- Intelligenza nel trattamento dei dati strutturati e semi-strutturati: il Machine Learning
- CONVEGNO ON LINE 4:Venerdì 10 Novembre, ore 15.00 18.00
- Al Generativa e Large Scale Language Models

### OVERVIEW







Con la collaborazione incondizionata della Associazione Italiana di Intelligenza Artificiale



Associazione Italiana per l'Intelligenza Artificiale

- Le Reti Neurali: dai percettroni ai Transfomers
  - I Multilayer Perceptron
  - Le reti Convoluzionali e le immagini.
  - Reti Ricorrenti
- Applicazioni avanzate ai dati non strutturati
  - ImageNet: Image Processing, Classification, Automated Captioning
  - Visual Question Answering, Multimodality
- Reti attenzionali, trasformers e autoregressive autoencoders
- Modelli Generativi: la famiglia GPT, e chatGPT

### **RETI NEURALI** (RECAP)

PERCETTRONI E MULTILAYER PERCEPTRONS

### **RETI NEURALI**

LE RETI CONVOLUZIONALI E LE IMMAGINI

## **APPLICAZIONI DELLE RETI NEURALI**

IMMAGINI: OBJECT DETECTION, ENCODING, MAP COLOURING



### **OBJECT DETECTION WITH CNNS**



### IMAGE CAPTIONING: ADVANCED ARCHITECTURES

- Image to captions
  - Convolutional Neural Network to learn a representation of the image
  - (Bi-directional) Recurrent Neural Network to generate a caption describing the image
    - its input is the representation computed from the CNN
    - its output is a sequence of words, i.e. the caption





"baseball player is throwing ball in game."

### THEARCHITECTURE



### ATTENTION: A BRODGE BETWEEN VISION AND LANGUAGE



A(1.00)



### INTEGRATED VISION AND LANGUAGE PROCESSING: IMAGE CAPTIONING AND ATTENTION



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.

ĒS	E	Μ	P	

+ New Chat	
Today	
🏳 Hello and Hi	⊿ ⊡
June	

🟳 Canzone per Mamma

February

□ Train Neural Model for NWM

January

D New chat



vibrant portrait painting of Salvador Dalí with a robotic half face



a shiba inu wearing a beret and black turtleneck



a close up of a handpalm with leaves growing from it



an espresso machine that makes coffee from human souls, artstation

panda mad scientist mixing sparkling chemicals, artstation



a corgi's head depicted as an explosion of a nebula

-



### NEURAL ENCODING-DECODING FOR DALL-E



Figure 2: A high-level overview of unCLIP. Above the dotted line, we depict the CLIP training process, through which we learn a joint representation space for text and images. Below the dotted line, we depict our text-to-image generation process: a CLIP text embedding is first fed to an autoregressive or diffusion prior to produce an image embedding, and then this embedding is used to condition a diffusion decoder which produces a final image. Note that the CLIP model is frozen during training of the prior and decoder.

### **BANKING: ABILABERT IN DECODE**

- 5 banche coordinate da ABILAB
- Una Process Taxonomy condivisa e differenti Basi di Dati Documentali
- Automatic Text-driven Process Mapping basato su reti neurali Trasformers





### DIAGNOSI MALATTIE PEDIATRICHE: UN WORKFLOW ORIENTATO AL ML



### MEDICAL INFORMATION EXTRACTION



### EVIDENCE BASED DIAGNOSIS: RISULTATI (11,926 PAZIENTI)

### Table 2 | Illustration of diagnostic performance of our AI model and physicians

Our model	Physicians				
	Physician group 1	Physician group 2	Physician group 3	Physician group 4	Physician group 5
0.920	0.801	0.837	0.904	0.890	0.935
0.837	0.947	0.961	0.950	0.959	0.965
0.865	0.818	0.872	0.854	0.896	0.893
0.786	0.808	0.730	0.879	0.940	0.943
0.888	0.829	0.767	0.946	0.952	0.972
0.932	0.839	0.797	0.896	0.873	0.870
0.803	0.803	0.815	0.910	0.903	0.935
0.897	0.818	0.872	0.854	0.896	0.893
0.895	0.925	0.963	0.960	0.962	0.906
0.935	0.808	0.769	0.89	0.907	0.917
0.925	0.879	0.907	0.952	0.907	0.944
0.929	0.817	0.754	0.884	0.916	0.916
0.889	0.843	0.863	0.908	0.903	0.912
0.885	0.841	0.839	0.907	0.915	0.923
	Our model 0.920 0.837 0.865 0.786 0.786 0.888 0.932 0.803 0.897 0.895 0.925 0.925 0.925 0.925 0.929 0.889 0.889	Our model Physicians   Physician group 1   0.920 0.801   0.837 0.947   0.865 0.818   0.786 0.808   0.786 0.808   0.786 0.808   0.786 0.808   0.786 0.808   0.839 0.829   0.932 0.839   0.803 0.829   0.803 0.839   0.805 0.818   0.805 0.818   0.805 0.818   0.805 0.829   0.805 0.829   0.805 0.818   0.805 0.829   0.925 0.839   0.925 0.839   0.925 0.841	Our model Physicians   Physician group 1 Physician group 2   0.920 0.801 0.837   0.837 0.947 0.961   0.865 0.818 0.872   0.786 0.808 0.730   0.786 0.808 0.730   0.888 0.829 0.767   0.932 0.839 0.797   0.932 0.839 0.797   0.803 0.815 0.815   0.804 0.925 0.963   0.935 0.879 0.907   0.925 0.963 0.91   0.925 0.907 0.91   0.925 0.907 0.91   0.925 0.93 0.92   0.925 0.907 0.91   0.925 0.93 0.92   0.925 0.93 0.93   0.925 0.817 0.754   0.938 0.843 0.839	Our mode Physicians   Physician group 1 Physician group 2 Physician group 3   0.920 0.801 0.837 0.904   0.837 0.947 0.961 0.950   0.865 0.818 0.872 0.854   0.865 0.818 0.872 0.854   0.786 0.808 0.730 0.879   0.888 0.829 0.767 0.946   0.932 0.839 0.797 0.946   0.932 0.839 0.815 0.910   0.803 0.815 0.910 0.854   0.803 0.815 0.910 0.854   0.803 0.818 0.879 0.910   0.804 0.803 0.815 0.816   0.805 0.925 0.963 0.896   0.935 0.879 0.907 0.952   0.925 0.817 0.754 0.884   0.808 0.843 0.839 0.908   0.836 0.834 0.83	Physicians Physician group 1 Physician group 2 Physician group 3 Physician group 4   0.920 0.801 0.837 0.904 0.890   0.837 0.947 0.961 0.950 0.959   0.865 0.818 0.872 0.854 0.896   0.786 0.808 0.730 0.847 0.940   0.888 0.829 0.767 0.946 0.952   0.888 0.829 0.767 0.946 0.952   0.932 0.839 0.797 0.896 0.873   0.932 0.839 0.797 0.896 0.873   0.932 0.839 0.797 0.896 0.873   0.843 0.818 0.879 0.896 0.896   0.895 0.818 0.872 0.864 0.896   0.895 0.829 0.907 0.907 0.907   0.925 0.907 0.908 0.907 0.907   0.925 0.817 0.754 0.884 0.

# COMPAS: PROFILING

- COMPAS dataset (Correctional Offender Management Profiling for Alternative Sanctions)
  - raccoglie dati nell'ambito della giustizia penale utilizzati per prevedere il rischio di recidiva di un imputato.
  - pubblicato da ProPublica nel 2016 sulla base dei dati raccolti dalla contea di Broward.

Attributes	Туре	Values	#Missing values	Description	
sex	Binary	{Male, Female}	0	Sex	
age	Numerical	[18 - 96]	0	Age in years	
age_cat	Categorical	3	0	Age category	
race	Categorical	6	0	Race	
juv_fel_count	Numerical	[0 - 20]	0	The juvenile felony count	
juv_misd_count	Numerical	[0 - 13]	0	The juvenile misdemeanor count	
juv_other_count	Numerical	[0 - 17]	0	The juvenile other offenses count	
priors_count	Numerical	[0 - 38]	0	The prior offenses count	
c_charge_degree	Binary	{F, M}	0	Charge degree of original crime	
score_text	Categorical	3	0	ProPublica-defined category of decile score	
v_score_text	Categorical	3	0	ProPublica-defined category of v_decile_score	
two_year_recid	Binary	{0, 1}	0	Whether the defendant is rearrested within two years	

**Caratteristiche** Contiene 7.214 istanze. Ogni imputato è descritto da 52 attributi (31 categorici, 6 binari, 14 numerici e un attributo nullo)

Task L'obiettivo è prevedere se un individuo viene nuovamente arrestato entro due anni dal primo arresto

### Possibili rischi

Alcuni gruppi sociali (gli afroamericani) hanno maggiori probabilità di essere erroneamente etichettati come a rischio più elevato rispetto agli altri (i caucasici). Eticamente ingiusto.

Obbiettivo: ottenere un sistema equo tra gruppi sociali diversi.



### **RETI NEURALI AVANZATE:** ATTENZIONE E TRANSFORMERS

METODI E ARCHITETTURE

### ENCODER-DECODER DEEP ARCHITECTURES

- Given enough data, a deep encoder-decoder architecture (see below) can yield results that compete with hand-engineered translation systems.
- The connectivity structure means that partial computations in the model can flow through the graph in a wave (darker nodes in fig.)



### ATTENTION-BASED RNNS

• A NN (e.g. B) is used to attend the outcome of a second network A, e.g. (Vaswani et al., 2017)



### ATTENTION FUNCTIONS



### ATTENTION IN SEQ2SEQ MODELS



### SELF-ATTENTION

Self-attention









### ATTENTION: MULTIHEAD



### ATTENTION-BASED RNNS



### ATTENTION MECHANISMS IN MACHINE TRANSLATION



Diagram derived from Fig. 3 of Bahdanau, et al. 2014

### **ATTENTION & ENCONDING**

- In a decoding process (e.g. machine translation) there are **three** kinds of dependencies for neural architectures
- Dependencies can establish between
  - I. the *input and output* tokens
  - 2. the input tokens themselves
  - 3. the output tokens themselves
- Examples:
  - Machine Translation
  - QA where the query the answer paragraph is the input and the matched answer is the output

### ATTENTION IN MACHINE TRANSLATION



### ATTENTION AND ANAPHORA



### BERT & NLP



### BERT & NLP (2)

- How to train (i.e. optimize) the encoding?
- General and complex tasks defined in (Devlin et al., 2018) are
  - Masked Language Modeling (15%)
    - Inpired by Distributional Hypothesis
    - Can be Simulated and does not require any labeling
  - Next Sentence Prediction
    - Inspired by Textual Inference tasks (e.g. Textual Entailment)
    - Can be Simulated and does not require any labeling
- Source Representations
  - Words? And why not subword? (in the BERT jargon) Word Pieces!!
    - Useful to deal with out-of-vocabulary phenomena



### **Pretraining** on two unsupervised prediction tasks:

- Masked Language Model: given a sentence s with missing words, reconstruct s
  - Example: Amazon <MASK> amazing  $\rightarrow$  Amazon is amazing
  - In BERT the language modeling is deeply Bidirectional, while in ELMo the forward and backward LMs were two independent branches of the NN
- Next Sentence Prediction: given two sentences s<sub>1</sub> and s<sub>2</sub>, the task is to understand whether s<sub>2</sub> is the actual sentence that follows s<sub>1</sub>
  - 50% of the training data are positive examples: s<sub>1</sub> and s<sub>2</sub> are actually consecutive sentences
  - 50% of the training data are negative examples:  $s_1$  and  $s_2$  are randomly chosen from the corpus
## BERT (DEVLIN ET AL.'18): TASKS





I love this time of year between Christmas and the New Year. It's a time of reflection, hope, vision and possibility. A good time to think about who you want to be and the life you want to create.





BERT for single sentence classification (Sentiment analysis, Intent Classification, etc.)

## BERT (DEVLIN ET AL. '18)



Single Sentence

# Task: Slot tagging |x 178:1 |# BOS |y 128:1 |# 0

1~	1/0.1	1 #	005	17	120.1	1 #	0
x	770:1	#	show	lу	128:1	#	0
x	429:1	#	flights	lу	128:1	#	0
x	444:1	#	from	lу	128:1	#	0
x	272:1	#	burbank	lу	48:1	#	B-fromloc.city_name
x	851:1	#	to	lу	128:1	#	0
x	789:1	#	st.	lу	78:1	#	B-toloc.city_name
x	564:1	#	louis	lу	125:1	#	I-toloc.city_name
x	654:1	#	on	lу	128:1	#	0
x	601:1	#	monday	lу	26:1	#	B-depart_date.day_name
x	179:1	#	EOS	lу	128:1	#	0

BERT for Sequence Tagging Tasks (e.g., POS tagging, Named Entity Recognition, etc.)

## BERT (DEVLIN ET AL. '18)

### Class



Answer selection in QA: Decide if Q contains an answer to A: A:"What is the Capital of Italy?" Q:"Rome, as the capital of Italy, ....."

RTE: Given P decide if H is true (or not) P:"Roma is the Capital of Italy." H:"Rome is in Italy."

RTE: Given SI and S2 decide if they are paraphrases (or not) S: I "Roma is the Capital of Italy." S2: "Italy has Rome as its own Capital town."

BERT for sentence pairs classification (Paraphrase Identification, answer selection in QA, Recognizing Textual Entailment)

## BERT (DEVLIN ET AL. '18)



Start/End Span

Answer Span Selection in QA: Decide which part of Q corresponds to the answer to A: A:"What is the Capital of Italy?" Q:"<Start>Rome<End>, as the capital of Italy, ....."

BERT for Answer Span Selection in Question Answering

### A QA EXAMPLE ON SQUAD

Insert your question here:

Q How is Covid-19 transmitted?

**Q** SEARCH

ex. Sintomi covid-19 sui bambini?

Cross-lingual Question Answering

In-flight Transmission Cluster of COVID-19: A CAR A Retrospective Case Series Running title: In-flight Transmission Cluster of COVID-19

#### figure

Naibin Yang, Yuefei Shen, Chunwei Shi, Ada Hoi, Yan Ma, Xie Zhang, Xiaomin Jian, Liping Wang, Jiejun Shi, Chunyang Wu, Guoxiang Li, Yuan Fu, Keyin Wang, Mingqin Lu, Guoqing Qian, \* N Yang, Y Shen, C Shi, A Ma easily transmitted than SARS-CoV [25]. Different from SARS, COVID-19 can be transmitted during the incubation period [26], or by an asymptomatic patient [27]. Features of transmission between SARS and COVID-19 were largely different. For example, health workers account for majority of persons infected with SARS-CoV, while infection with SARS-CoV-2 usually develops in social clusters or family clusters [3]. Wider-Smith reported the first case in-flight transmission of SARS from Singapore [28]. They suggested that it is unlikely to have mass infection of SARS on airplanes. However, we believe it is very likely that mass infection of COVID-19 can occur during a flight, especially when respiratory and contact precautions were not in place.How the SARS-CoV-2 in our study transmitted among the ten passengers was largely unknown. Transmission via aerosol is a possible way for SARS-CoV-2,

### BERT PRETRAINING: INPUT REPRESENTATIONS



All these embeddings are learned during the (pre)training process

In pre-training 15% of the input tokens are masked for the masked LM task

## RETI NEURALI AVANZATE: DALL'AUTOENCODING ALLA IA GENERATIVA

METODI E ARCHITETTURE







### Machine learning paradigms underlying ChatGPT





Machine learning paradigms underlying ChatGPT

Sutskever, O.Vinyals, & Q.V. Le, 2014



### FROM ATTENTION TO TRANSFOMERS





### Machine learning paradigms underlying ChatGPT















Single Sentence

(d) Single Sentence Tagging Tasks: CoNLL-2003 NER



### THE ROLE OF TRASFORMERS

#### First setting

- $h(A_i, B_j) = true \quad \text{iff} \quad \{\Delta, A_i\} \Vdash B_j$
- Input given by 2 sentences
- BERT used as the encoder
- A stacked classifier is trained on labeled pairs
- Type of Inference:
  - PARAPHRASING
  - TEXTUAL ENTAILMENT

#### Class Label



(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG

### THE ROLE OF TRASFORMERS (2)

#### Second setting

- $h(A_i \rightarrow B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$
- Input given I sentence expressing the task over  $A_i$  and  $B_i$
- BERT used as the encoder
- A stacked classifier is trained on labeled pairs
- Example (PARAPHRASING):
- «The sentence  $B_i$  has the same meaning of sentence  $A_i$ »
- «Sentence  $A_i$  means the same as  $B_i$ »



(b) Single Sentence Classification Tasks: SST-2, CoLA

### THE ROLE OF TRASFORMERS (3)

#### Second setting

- $h(A_i \rightarrow B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$
- Input given I sentence expressing the task over  $A_i$  and  $B_i$
- BERT used as the encoder
- A stacked classifier is trained on labeled pairs
- Example (TEXTUAL ENTAILMENT):
- «The sentence  $B_j$  is implied by sentence  $A_i$ »
- «Sentence  $A_i$  guarantees the truth of  $B_j$ »



(b) Single Sentence Classification Tasks: SST-2, CoLA

## NEURAL ENTAILMENT: APPLICATIONS

The setting

 $h(A_i \rightarrow B_j) = true \quad \text{iff} \quad \{\Delta, A_i\} \Vdash B_j$ 

- correspond to sentences that depend on complex interactions between A<sub>i</sub> and B<sub>i</sub> mapped into an individual sentences
  - BERT is always used as the encoder
  - The stacked classifier is an automatic entailment recognition tool
  - It can be preserved for future TEXTUAL ENTAILMENT tasks, e.g., :
  - Topical Classification
    - «The sentence  $B_i$  is classified by label  $A_i$ »
    - «Label  $A_i$  corresponds to the topic of  $B_j$ »
  - Sentiment Analysis:
    - « $A_i$  implies the sentiment label  $B_j$ »
    - « $A_i$  expresses sentiment  $B_i$ »



(b) Single Sentence Classification Tasks: SST-2, CoLA



### Machine learning paradigms underlying ChatGPT

### GPT-2: DECODER ONLY ARCHITECTURES (RADFORD ET AL., 2019)

- "We demonstrate that language models begin to learn these tasks without any explicit supervision when trained on a new dataset of millions of webpages called WebText"
- GPT-2 is a large transformer-based language model with 1.5 billion parameters, trained on a dataset of 8 million web
  pages.
- GPT-2 is trained with a simple objective: predict the next word, given all of the previous words within some text.
- The diversity of the dataset causes this simple goal to contain naturally occurring demonstrations of many tasks across diverse domains.
- GPT-2 is a direct scale-up of GPT, with more than 10X the parameters and trained on more than 10X the amount of data

### **GPT-2: SOURCES OF INSIPIRATION**

### Multitask QA Networks (MQAN ) (McCann et al, 2018)

#### Examples

Question	Context	Answer	Question	Context	Answer
What is a major importance of Southern California in relation to California and the US?	Southern California is a major economic center for the state of California and the US	major economic center	What has something experienced?	Areas of the Baltic that have experienced eutrophication.	eutrophication
What is the translation from English to German?	Most of the planet is ocean water.	Der Großtell der Erde 1st Meerwasser	Who is the illustrator of Cycle of the Werewolf?	Cycle of the Werewolf Is a short novel by Stephen King, featuring illustrations by comic book artist Bernie Wrightson.	Bernle Wrightson
What is the summary?	Harry Potter star Daniel Radcliffe gains access to a reported £320 million fortune	Harry Potter star Daniel Radcilffe gets £320M fortune	What is the change in dialogue state?	Are there any Eritrean restaurants in town?	food: Eritrean
Hypothesis: Product and geography are what make cream skimming work. Entailment, neutral, or contradiction?	Premise: Conceptually cream skimming has two basic dimensions – product and geography.	Entailment	What is the translation from English to SQL?	The table has column names Tell me what the notes are for South Australia	SELECT notes from table WHERE 'Current Slogan' = 'South Australia'
Is this sentence positive or negative?	A stirring, funny and finally transporting re-imagining of Beauty and the Beast and 1930s horror film.	positive	Who had given help? Susan or Joan?	Joan made sure to thank Susan for all the help she had given.	Susan

Figure 1: Overview of the decaNLP dataset with one example from each decaNLP task in the order presented in Section 2. They show how the datasets were pre-processed to become question answering problems. Answer words in red are generated by pointing to the context, in green from the question, and in blue if they are generated from a classifier over the output vocabulary.

 Our speculation is that a language model with sufficient capacity will begin to learn to infer and perform the tasks demonstrated in natural language sequences in order to better predict them, regardless of their method of procurement. If a language model is able to do this it will be, in effect, performing unsupervised multitask learning.

## GPT-2: ARCHITECTURE (2)

From (Radford et al., 2017, GPT paper)



Figure 1: (left) Transformer architecture and training objectives used in this work. (right) Input transformations for fine-tuning on different tasks. We convert all structured inputs into token sequences to be processed by our pre-trained model, followed by a linear+softmax layer.

### **GPT-2: RESULTS**

	Language Models are Unsupervised Multitask Learners										
	LAMBADA	LAMBADA	CBT-CN	CBT-NE	WikiText2	PTB	enwik8	text8	WikiText103	1BW	
	(PPL)	(ACC)	(ACC)	(ACC)	(PPL)	(PPL)	(BPB)	(BPC)	(PPL)	(PPL)	
SOTA	99.8	59.23	85.7	82.3	39.14	46.54	0.99	1.08	18.3	21.8	
117M	35.13	45.99	87.65	83.4	29.41	65.85	1.16	1.17	37.50	75.20	
345M	15.60	55.48	92.35	87.1	22.76	47.33	1.01	1.06	26.37	55.72	
762M	10.87	60.12	93.45	88.0	19.93	<b>40.31</b>	<b>0.97</b>	1.02	22.05	44.575	
1542M	8.63	63.24	93.30	89.05	18.34	<b>35.76</b>	<b>0.93</b>	0.98	<b>17.48</b>	42.16	

*Table 3.* Zero-shot results on many datasets. No training or fine-tuning was performed for any of these results. PTB and WikiText-2 results are from (Gong et al., 2018). CBT results are from (Bajgar et al., 2016). LAMBADA accuracy result is from (Hoang et al., 2018) and LAMBADA perplexity result is from (Grave et al., 2016). Other results are from (Dai et al., 2019).

- The LAMBADA dataset (Paperno et al., 2016)
  - It tests the ability of systems to model long-range dependencies in text.
  - The task is to predict the final word of sentences which require at least 50 tokens of context for a human to successfully predict.

### GPT-2: RESULTS ON LAMBADA

#### The LAMBADA dataset (Paperno et al., 2016)

- It tests the ability of systems to model long-range dependencies in text.
- The task is to predict the final word of sentences which require at least 50 tokens of context for a human to successfully predict.
  - (1) Context: "Yes, I thought I was going to lose the baby." "I was scared too," he stated, sincerity flooding his eyes. "You were ?" "Yes, of course. Why do you even ask?" "This baby wasn't exactly planned for." Target sentence: "Do you honestly think that I would want you to have a \_\_\_\_\_?" Target word: miscarriage

  - (4) Context: They tuned, discussed for a moment, then struck up a lively jig. Everyone joined in, turning the courtyard into an even more chaotic scene, people now <u>dancing</u> in circles, swinging and spinning in circles, everyone making up their own dance steps. I felt my feet tapping, my body wanting to move. Target sentence: Aside from writing, I 've always loved \_\_\_\_\_\_ Target word: dancing
- GPT-2 improves the state of the art from 99.8 (Grave et al., 2016) to 8.6 perplexity and increases the accuracy of LMs on this test from 19% (Dehghani et al., 2018) to 52.66%. Adding a stop-word filter as an approximation to this further increases accuracy to 63.24%.
- Investigating GPT-2's errors showed most predictions are valid continuations of the sentence, but are not valid final words

## BART (LEWIS ET AL., 2019) - FACEBOOK

- Enconding decoding architecture based on Pretraining and fine RTE, SA, ...
  - tuned towards different tasks such as:

- Two stages of PRETRAINING
  - Text is first corrupted with an arbitrary noising function,
  - A sequence-to-sequence model is learned to reconstruct the original text.



- FINE TUNING:
  - MNLI (Williams et al., 2017), a bitext classification task to predict whether one sentence entails another. The fine-tuned model concatenates the two sentences with appended an EOS token, and passes them to both the BART encoder and decoder. In contrast to BERT, the representation of the EOS token is used to classify the sentences relations.
  - ELI5 (Fan et al., 2019), a long-form abstractive question answering dataset. Models generate answers conditioned on the concatenation of a question and supporting documents.

### **APPLYING BART**



(a) To use BART for classification problems, the same input is fed into the encoder and decoder, and the representation from the final output is used.

(b) For machine translation, we learn a small additional encoder that replaces the word embeddings in BART. The new encoder can use a disjoint vocabulary.

Figure 3: Fine tuning BART for classification and translation.



Hromei et al, 2022, "Embedding Contextual Information in Seq2seq Models for Grounded Semantic Role Labeling"

### EXPERIMENTAL EVALUATION

FP = Frame Prediction AIC = Argument Identification and	Model	Learning Rate	FP	AIC- Exact Match	AIC-Head Match
EM = Exact Match HM = Head Match	LU4R	-	95.32%	77.67%	86.35%
	GrUT-IT	5·10 <sup>-5</sup>	96.86%	82.30%	85.19%

LU4R: TAKING(Theme("libro")) GrUT-IT: TAKING(Theme(b1)) Results here are reported as F1 values on 10-fold cross-validation schema with 80/10/10 data split. Performance for LU4R is reported in *italic* as it is not entirely comparable with.





### Machine learning paradigms underlying ChatGPT





**Figure 1.3: Aggregate performance for all 42 accuracy-denominated benchmarks** While zero-shot performance improves steadily with model size, few-shot performance increases more rapidly, demonstrating that larger models are more proficient at in-context learning. See Figure 3.8 for a more detailed analysis on SuperGLUE, a standard NLP benchmark suite.

The three settings we explore for in-context learning

#### Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

Translate English to French:	task description
cheese =>	- prompt

#### One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.

Translate English to French:	task description
sea otter => loutre de mer	 example
cheese =>	 prompt

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

Translate English to French:	¢	task description
sea otter => loutre de mer	-	examples
peppermint => menthe poivrée	ç	
<pre>plush girafe =&gt; girafe peluche</pre>	4	
cheese =>	è	prompt

#### Traditional fine-tuning (not used for GPT-3)

#### Fine-tuning

The model is trained via repeated gradient updates using a large corpus of example tasks.



### GPT-3: SIZE

Model Name	$n_{ m params}$	$n_{ m layers}$	$d_{ m model}$	$n_{ m heads}$	$d_{\mathrm{head}}$	Batch Size	Learning Rate
GPT-3 Small	125M	12	768	12	64	0.5M	$6.0 \times 10^{-4}$
GPT-3 Medium	350M	24	1024	16	64	0.5M	$3.0  imes 10^{-4}$
GPT-3 Large	760M	24	1536	16	96	0.5M	$2.5  imes 10^{-4}$
GPT-3 XL	1.3B	24	2048	24	128	1M	$2.0  imes 10^{-4}$
GPT-3 2.7B	2.7B	32	2560	32	80	1M	$1.6 \times 10^{-4}$
GPT-3 6.7B	6.7B	32	4096	32	128	2M	$1.2 \times 10^{-4}$
GPT-3 13B	13.0B	40	5140	40	128	2M	$1.0  imes 10^{-4}$
GPT-3 175B or "GPT-3"	175.0B	96	12288	96	128	3.2M	$0.6  imes 10^{-4}$

**Table 2.1:** Sizes, architectures, and learning hyper-parameters (batch size in tokens and learning rate) of the models which we trained. All models were trained for a total of 300 billion tokens.

- Here n<sub>params</sub> is the total number of trainable parameters, n<sub>layers</sub> is the total number of layers, d<sub>model</sub> is the number of units in each bottleneck layer (we always have the feedforward layer four times the size of the bottleneck layer, d<sub>ff</sub>=4xd<sub>model</sub>), and d<sub>head</sub> is the dimension of each attention head.
- All models use a context window of n<sub>ctx</sub> = 2048 tokens



### Machine learning paradigms underlying ChatGPT

### LIMITATIONS OF GPT-3

- Large language models often express unintended behaviors such as making up facts, generating biased or toxic text, or simply not following user instructions. This is because the language modeling objective is misaligned.
- The idea: aligning language models by training them to act in accordance with the user's intention (Leike et al., 2018).
  - explicit intentions such as following instructions
  - implicit intentions such as staying truthful, and not being biased, toxic, or otherwise harmful.
- Overall Objective: language models should be helpful (they should help the user solve their task), honest (they shouldn't fabricate information or mislead the user), and harmless (they should not cause physical, psychological, or social harm to people or the environment).

### INSTRUCTGPT

- Step 1: Collect demonstration data, and train a supervised policy. Labelers provide demonstrations of the desired behavior on the input prompt distribution. Then, fine-tuning of a pretrained GPT-3 model on this data using supervised learning is carried out.
- Step 2: Collect comparison data, and train a reward model. A dataset of comparisons between model outputs is collected: labelers indicate which output they prefer for a given input. A reward model to predict the humanpreferred output is then trained.
- Step 3: Optimize a policy against the reward model using PPO. We use the output of the RM as a scalar reward. We fine-tune the supervised policy to optimize this reward using the proximal policy optimization (PPO) algorithm (Schulman et al., 2017).

### At the heart of ChatGPT (from BART to ChatGPT)






write a biblical verse in the style of the king james bible explaining how to remove a peanut butter sandwich from a VCR

And it came to pass that a man was troubled by a peanut butter sandwich, for it had been  $\square$   $\square$  placed within his VCR, and he knew not how to remove it.

And he cried out to the Lord, saying, "Oh, Lord, how can I remove this sandwich from my VCR, for it is stuck fast and will not budge?"

And the Lord spoke unto him, saying, "Fear not, my child, for I shall guide thy hand and show thee the way. Take thy butter knife, and carefully insert it between the sandwich and the VCR, and gently pry them apart. And with patience and perseverance, the sandwich shall be removed, and thy VCR shall be saved."

And the man did as the Lord commanded, and lo and behold, the sandwich was removed from the VCR, and the man was saved.

And the Lord said, "Verily I say unto thee, seek not to put thy peanut butter sandwiches in thy VCR, for it is not a suitable place for such things. Rather, keep thy sandwiches in thy refrigerator or on thy plate, where they belong."

And the man heeded the Lord's words, and from that day forth, he kept his sandwiches in their proper place, and was saved from trouble and woe. Amen.

### NEURAL WRITING



expectancy ranges, allowing viewers to easily interpret the data. Overall, the image provides a visual representation of the variation in life expectancy across different European countries, highlighting the disparities between countries and regions.

## TRENDS ...



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