

### **AGENDA**







Con la collaborazione incondizionata della Associazione Italiana di Intelligenza Artificiale



- CONVEGNO ON LINE: Mercoledì 7 Maggio, ore 15.00 18.00
- Al Generativa e Large Scale Language Models
- OVERVIEW
  - Foundation Models for Natural Language Processing.
  - Internals of Encoder-Decoder architectures.
  - Chat GPT.
  - Prompt Engineering e Few Shot Learning.
  - Tendenze recenti.
- USE CASES:
  - Process management in banking,
  - Information Extraction per la medicina,
  - Modelli di forecasting.

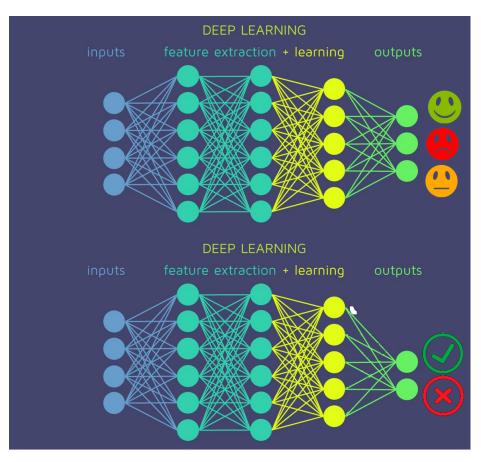
### **OVERVIEW**

- Le Reti Neurali: dai percettroni ai Transfomers
  - Il ruolo dei Foundation Models in NLP
  - Internals of Encoder-decoder architectures
- Modelli Generativi e Large Language Models: la famiglia GPT, e chatGPT
  - Chat GPT: principi di funzionamento
- Few-shot Learning
  - 0-shot learning models
  - Prompt Engineering
- Use cases: process management nel sistema bancario, information extraction per la medicina, modelli previsionali (forecasting)

# RETI NEURALI (RECAP)

PERCETTRONI E MULTILAYER PERCEPTRONS, CONVOLUTIONAL NEURAL NETWORKS E RECURRENT NETWORKS

## SOME CONSIDERATIONS (2)



- Multi-classification MLPs
  - there will be an output unit for each of the labels
  - Ex: n-way topic classification
    - 3 labels in Sentiment Analysis: Positive, Negative, Neutral

- Direct Classification MLPs
  - Binary TASK (True/False)

# CONVOLUTIONAL NEURAL NETWORKS (LE CUN, 1998)

- Mainly used for images related tasks
  - image classification
  - face detection
  - etc...
- Learn feature representations
  - by convolving over the input
  - with a filter, that slides over the input image
- Compositionality (local)
  - Each filter composes a local patch of lower-level features into a higher-level representation
- Location Invariance
  - the detection of specific patterns is independent of where it occurs

1	0	1
0	1	0
1	0	1

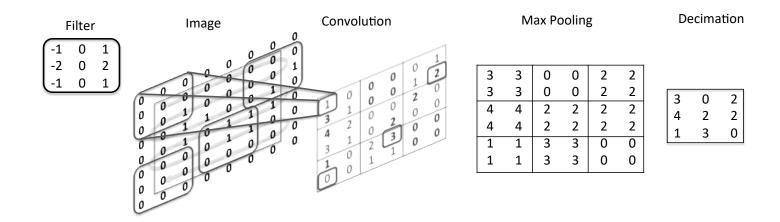
1,	<b>1</b> <sub>×0</sub>	1,	0	0
<b>0</b> ×0	1,1	<b>1</b> <sub>×0</sub>	1	0
0,,1	0,×0	1,	1	1
0	0	1	1	0
0	1	1	0	0



4	

Convolved Feature

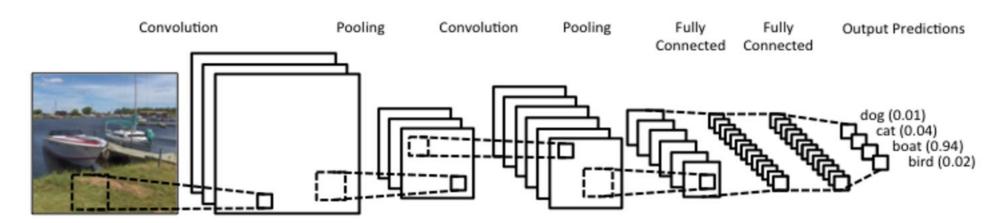
# A FUTHER EXAMPLE OF: CONVOLUTION WITH POOLING, AND DECIMATION OPERATIONS



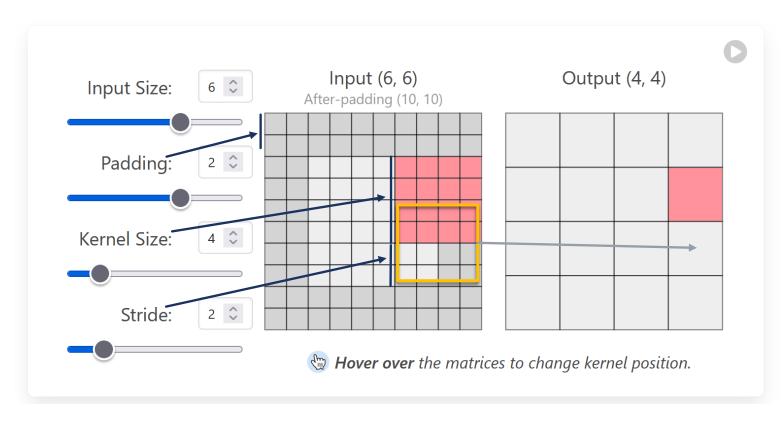
- An image is convolved with a filter; curved rectangular regions in the first large matrix depict a random set of image locations
- Maximum values within small 2×2 regions are indicated in bold in the central matrix
- The results are pooled, using max-pooling then decimated by a factor of two, to yield the final matrix

### CONVOLUTIONAL NEURAL NETWORKS

- CNNs automatically learn the parameters of the filters
  - a filter is a matrix of parameters
  - the key aspect is that a filter is adopted for the whole image
- Convolution can be applied in multiple layers
  - $\blacksquare$  a layer l+1 is computed by convolving over output produced in layer l
  - Pooling is an operation often adopted for taking the most informative features that are learned after a convolution step.

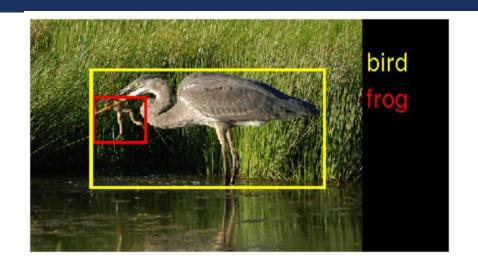


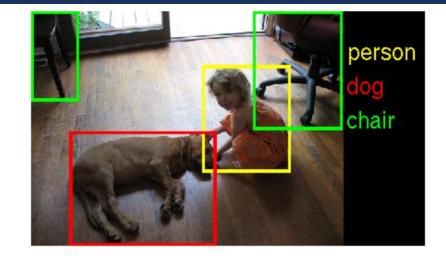
### TRAINING A CNN:TERMINOLOGY



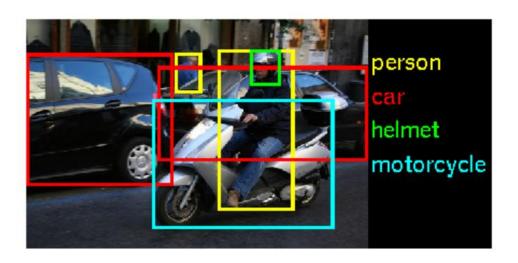
$$O = \frac{InputD - KernelD + 2PaddingD}{StrideD} + 1$$

### ILSVRC2014 EXAMPLES









### WHAT HAS BEEN LEARNT?

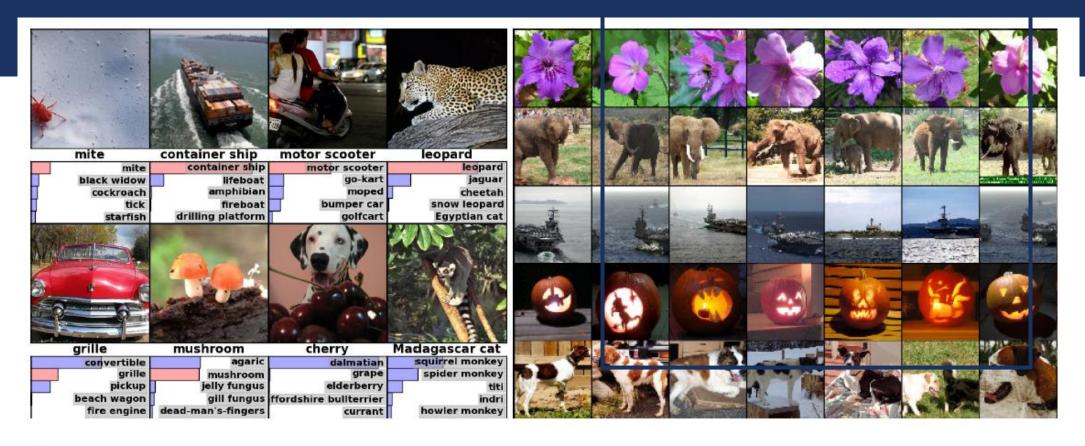


Figure 4: (**Left**) Eight ILSVRC-2010 test images and the five labels considered most probable by our model. The correct label is written under each image, and the probability assigned to the correct label is also shown with a red bar (if it happens to be in the top 5). (**Right**) Five ILSVRC-2010 test images in the first column. The remaining columns show the six training images that produce feature vectors in the last hidden layer with the smallest Euclidean distance from the feature vector for the test image.

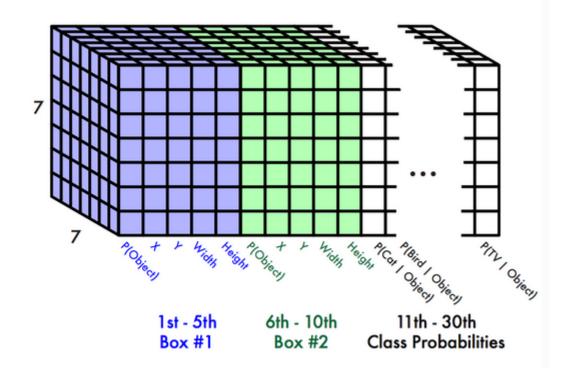
### YOLO: THE OUTPUT SIZE

#### Each cell predicts:

- For each bounding box:
  - 4 coordinates (x, y, w, h)
  - 1 confidence value
- Some number of class probabilities

#### For Pascal VOC:

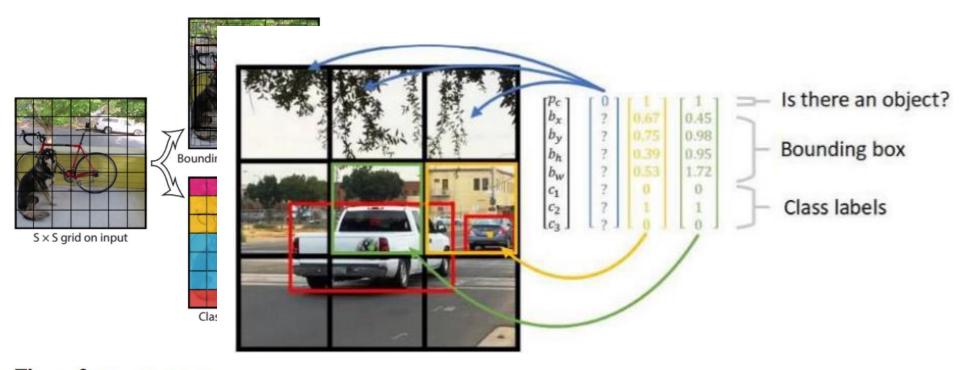
- 7x7 grid
- 2 bounding boxes / cell
- 20 classes



 $7 \times 7 \times (2 \times 5 + 20) = 7 \times 7 \times 30$  tensor = **1470 outputs** 

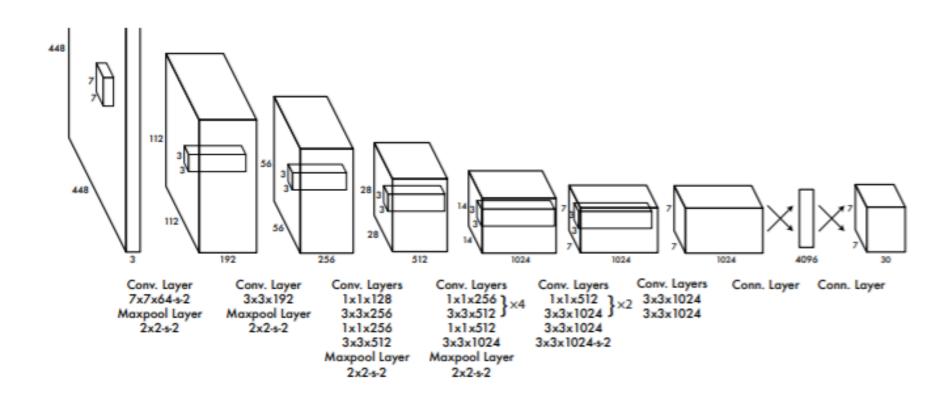
See also: <a href="https://pjreddie.com/publications/">https://pjreddie.com/publications/</a>

### **CURRENT CNNS:YOLO**



**Figure 2:** The Model. Our system models detection as a regression problem. It divides the image into an  $S \times S$  grid and for each grid cell predicts B bounding boxes, confidence for those boxes, and C class probabilities. These predictions are encoded as an  $S \times S \times (B * 5 + C)$  tensor.

### YOLO: THE ARCHITECTURE



### YOLO: RESULTS



**Figure 6: Qualitative Results.** YOLO running on sample artwork and natural images from the internet. It is mostly accurate although it does think one person is an airplane.

# RETI NEURALI RICORRENTI (RECAP)

LE RETI RICORRENTI



### RECURRENT NEURAL NETWORKS

For example, consider the classical form of a dynamical system:

$$\boldsymbol{s}^{(t)} = f(\boldsymbol{s}^{(t-1)}; \boldsymbol{\theta}), \tag{10.1}$$

where  $s^{(t)}$  is called the state of the system.

Equation 10.1 is recurrent because the definition of s at time t refers back to the same definition at time t-1.

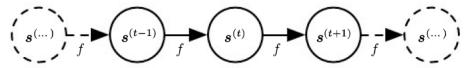
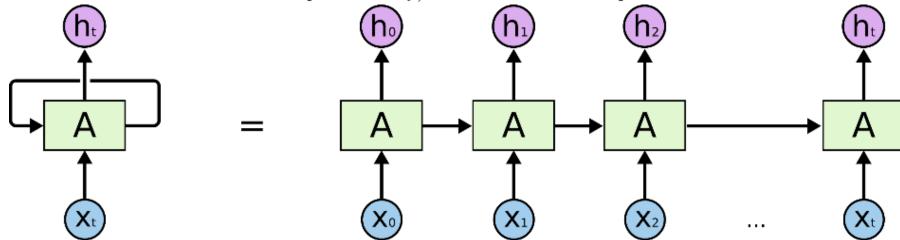


Figure 10.1: The classical dynamical system described by equation 10.1, illustrated as an unfolded computational graph. Each node represents the state at some time t, and the function f maps the state at t to the state at t+1. The same parameters (the same value of  $\theta$  used to parametrize f) are used for all time steps.



### TRAINING A RNN

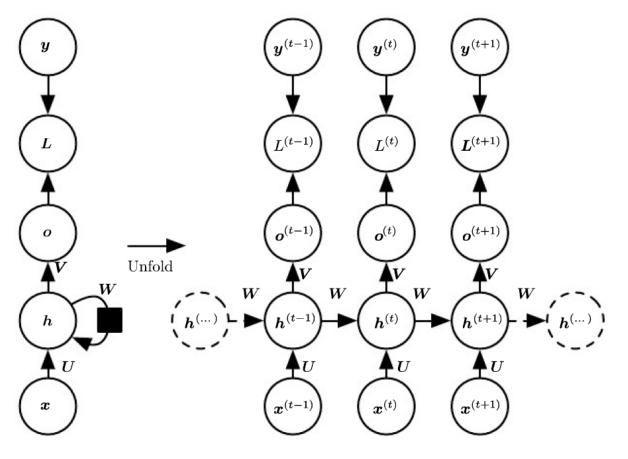


Figure 10.3: The computational graph to compute the training loss of a recurrent network that maps an input sequence of  $\boldsymbol{x}$  values to a corresponding sequence of output  $\boldsymbol{o}$  values. A loss L measures how far each  $\boldsymbol{o}$  is from the corresponding training target  $\boldsymbol{y}$ . When using softmax outputs, we assume  $\boldsymbol{o}$  is the unnormalized log probabilities. The loss L internally computes  $\hat{\boldsymbol{y}} = \operatorname{softmax}(\boldsymbol{o})$  and compares this to the target  $\boldsymbol{y}$ . The RNN has input to hidden connections parametrized by a weight matrix  $\boldsymbol{U}$ , hidden-to-hidden recurrent connections parametrized by a weight matrix  $\boldsymbol{W}$ , and hidden-to-output connections parametrized by a weight matrix  $\boldsymbol{V}$ . Equation 10.8 defines forward propagation in this model. (Left) The RNN and its loss drawn with recurrent connections. (Right) The same seen as a time-unfolded computational graph, where each node is now associated with one particular time instance.

### TYPES OF RNNS

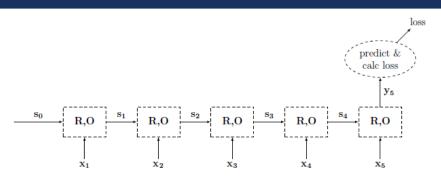


Figure 7: Acceptor RNN Training Graph.

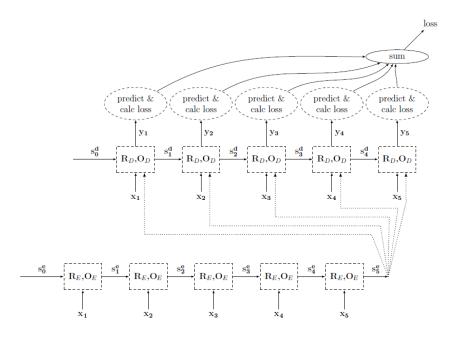


Figure 9: Encoder-Decoder RNN Training Graph.

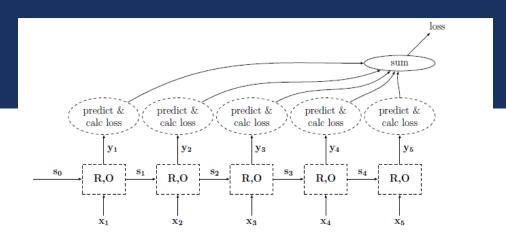


Figure 8: Transducer RNN Training Graph.

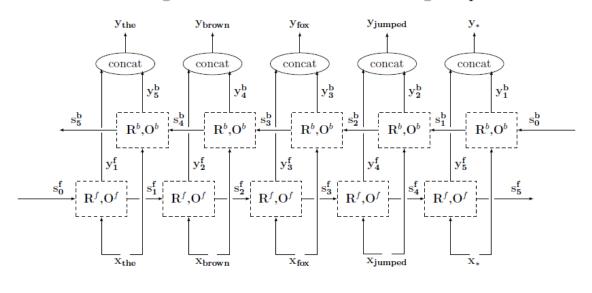


Figure 11: biRNN over the sentence "the brown fox jumped ."  $\!$ 

### **EXAMPLES: LANGUAGE UNDERSTANDING**

### THE MS COGNITIVE TOOLKIT

Task: Slot tagging with an LSTM

```
|# show
                      # O
|# flight
                      # O
|# from
                      # O
|# burbar
                      # B-fromloc.city_name
|# to
                      # O
l# st.
                      # B-toloc.city_name
|# louis
                      # I-toloc.city_name
l# on
                      # O
                      # B-depart_date.day_name
|# monday
```

# EXAMPLES: LANGUAGE UNDERSTANDING THE MS COGNITIVE TOOLKIT

### Task: Slot tagging with an LSTM

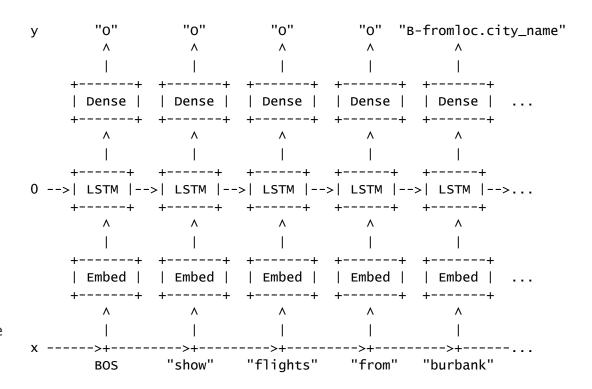
```
|x 178:1 |# BOS
                 |y 128:1 |# O
                                                               Dense
|x 770:1 |# show
                 |y 128:1 |# O
|x 429:1 |# flights |y 128:1 |# 0
|x 444:1 |# from
                    |y 128:1 |# 0
|x 272:1 | # burbank | y 48:1 | # B-fromloc.city_name
                                                             +----+
|x 851:1 |# to
                    |y 128:1 |# 0
|x 789:1 | # st. | y 78:1 | # B-toloc.city_name
                                                               Embed
|x 564:1 |# louis
                    |y 125:1 |# I-toloc.city_name
|x 654:1 |# on
                  |y 128:1 |# O
|x 601:1 | # monday | y 26:1 | # B-depart_date.day_name
|x 179:1 |# EOS
                    |y 128:1 |# 0
```

### **EXAMPLES: LANGUAGE UNDERSTANDING**

### THE MS COGNITIVE TOOLKIT

### Task: Slot tagging with an LSTM

```
|x 178:1 |# BOS
                    |y 128:1 |# 0
|x 770:1 |# show
                    |y 128:1 |# 0
|x 429:1 |# flights
                    |y 128:1 |# 0
|x 444:1 |# from
                     |y 128:1 |# 0
|x 272:1 |# burbank
                    |y 48:1 |# B-fromloc.city_name
|x 851:1 |# to
                    |y 128:1 |# 0
|x 789:1 |# st.
                    |y 78:1 |# B-toloc.city_name
|x 564:1 |# louis
                    |y 125:1 |# I-toloc.city_name
|x 654:1 |# on
                    |y 128:1 |# 0
                    |y 26:1 |# B-depart_date.day_name
|x 601:1 |# monday
|x 179:1 |# EOS
                    |y 128:1 |# 0
```





# MODELLI FONDAZIONALI PER IL NLP

NATURAL LANGUAGE UNDERSTNDING, PROBABILISTIC LANGUAGE MODELS, TRASFORMERS



# NATURAL LANGUAGE PROCESSING: AT THE HEART OF GENERATIVE AI SYSTEMS

- Syntax, Semantics and Pragmatics in Artificial Intelligent Agents
- Language Modeling:
  - Statistical approaches
  - Neural approaches to NL semantics
  - Neural Probabilistic Language Models
- Encoder-Decoder architectures

### NATURAL LANGUAGE & AMBIGUITY



### **AMBIGUITY: AN EXAMPLE**

"Dogs must be carried on this escalator"can be consistently interpreted in a number of ways:

- All dogs should have a chance to go on this wonderful escalator ride
- This escalator is for dog-holders only
- You can't carry your pet on the other escalators
- When riding with a pet, carry it

### THE NLP CHAIN: LEVELS OF LINGUISTIC ANALYSIS

Given an valid utterance such as

John, I am freezing

VS.

I, John, freezing am

**Pragmatics**: what does it do?

Semantics: what does it mean?

**Syntax**: what is grammatical?

### ANALOGY WITH ARTIFICIAL LANGUAGES

Syntax: no compiler errors

Semantics: no implementation bugs

Pragmatics: implemented the right algorithm

Different syntax, same semantics (5):

$$2 + 3 \Leftrightarrow 3 + 2$$

Same syntax, different semantics (1 and 1.5):

$$3 / 2 (Python 2.7) \Leftrightarrow 3 / 2 (Python 3)$$

Good semantics, bad pragmatics:

correct implementation of deep neural network for estimating coin flip prob.

### AMBIGUITY AND LINGUISTIC LEVELS

**Semantics** 

Syntax

Morphology

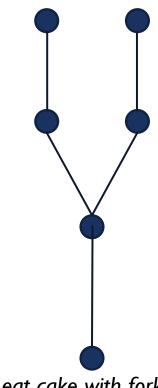
Phonology





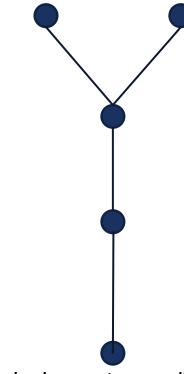
del (pane) /del (libro)

can/can



eat cake with fork

compro la borsa in pelle

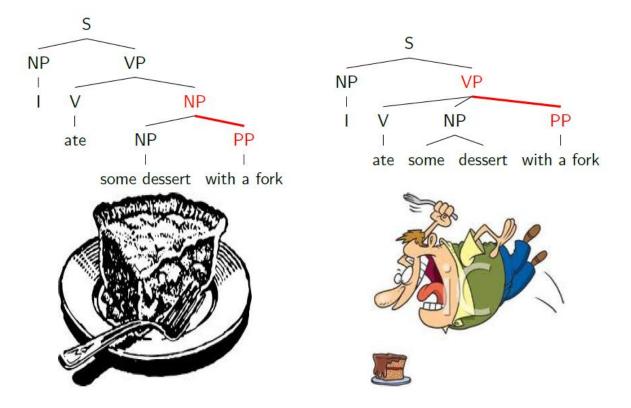


earth observation satellite Eco's book

il timore dei manager

### **GRAMMARS & AMBIGUITY**

#### I ate some dessert with a fork.



### PARSING & AMBIGUITY

 The parser search space is huge as for the effect of several forms of ambiguity that interacts in a combinatorial way

e.g. La vecchia porta la sbarra,

or buffalo Buffalo buffalo Buffalo buffalo Buffalo buffalo

syntactic PN

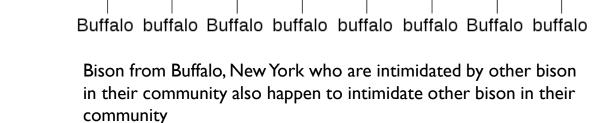


- Most of the ambiguities cannot be solved at the sole level
- Lexical information (e.g. word senses) are crucial:





To operate in a market viz. To operate a body part



RC

NP

VΡ

NΡ

PN

NP

PN

• Operare in un mercato  $\neq$  Operare un paziente



# SEMANTICS

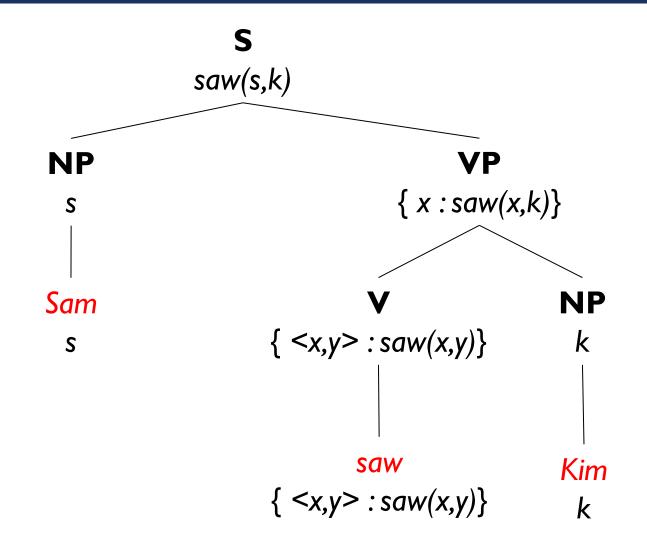
What is the meaning of the sentence

John saw Kim?

- Desirable Properties:
  - It should be derivable as a function of the individual constituent parts, i.e. the meanings of costituents such as Kim, John and see
  - Independent from syntactic phenomena, e.g. Kim was seen by John is a paraphrasis as it has the same semantics
  - It must be directy used to trigger some inferences:
    - Who was seen by John? Kim!
    - John saw Kim. He started running to her.



### A TRUTH CONDITIONAL SEMANTICS





John saw Kim

### THE DISTRIBUTIONAL HYPOTHESIS

STUDIES IN LINGUISTIC ANALYSIS

John Rupert Firth

IV

The placing of a text as a constituent in a context of situation contributes to the statement of meaning since situations are set up to recognize use. As Wittgenstein says, 'the meaning of words lies in their use.' The day to day practice of playing language games recognizes customs and rules. It follows that a text in such established usage may contain sentences such as 'Don't be such an ass!', 'You silly ass!', 'What an ass he is!' In these examples, the word ass is in familiar and habitual company, commonly collocated with you silly—, he is a silly—, don't be such an—. You shall know a word by the company it keeps! One of the meanings of ass is its habitual collocation with such other words as those above quoted.<sup>5</sup> Though Wittgenstein was dealing with another problem, he also recognizes the plain face-value, the physiognomy of words. They look at us! <sup>6</sup> 'The sentence is composed of the words and that is enough.'

to illustrate changes of meaning. The habitual collocations in which words under study appear are quite simply the mere word accompaniment,

<sup>1</sup> Many of Damon Runyon's 'inventions' follow the features of the fable. See especially

<sup>2</sup> Pick the Winner', in Furthermore, Constable, 1949.

<sup>3</sup> See 'General Linguistics and Descriptive Grammar', pp. 80-1.

<sup>2</sup> One matrice has so far neglected the structural and descriptive study of names in context and collocation.

4 Philosophical Investigations, pp. 80, 109.

See 'Modes of Meaning', pp. 124-7. In this essay, collocation is first suggested as a technical term.

See Philosophical Investigations, p. 181.

Firth, J.R. (1957). "A synopsis of linguistic theory 1930-1955". Studies in Linguistic Analysis: 1–32. Reprinted in F.R. Palmer, ed. (1968). Selected Papers of J.R. Firth 1952-1959. London: Longman.

https://cs.brown.edu/courses/csci2952d/readings/lecture1-firth.pdf

# LINGUISTICS AND COMPUTATIONAL SEMANTICS

- Foundation: Linguistic theory positing that words with similar contexts have similar meanings.
  - and representation from out computational perspective
- Computational Leap: tied to the Vector Space Model (Salton, 1975); represents documents and words as vectors in a metric space.
  - **Key Idea**: Documents are characterized by their words, and words by the documents they appear in.
  - Initially a Bag of Words model

## APPROACHES FOR REPRESENTING WORDS

## Distributional Semantics (Count)

- Used since the 90's
- Sparse word-context PMI/PPMI matrix
- Decomposed with SVD

#### Word Embeddings (Predict)

- · Inspired by deep learning
- word2vec (Mikolov et al., 2013)
- GloVe (Pennington et al., 2014)

Underlying Theory: **The Distributional Hypothesis** (Harris, '54; Firth, '57)

"Similar words occur in similar contexts"

(Baroni et al, 2014) Don't count, predict! A systematic comparison of context-counting vs. context-predicting semantic vectors – ACL

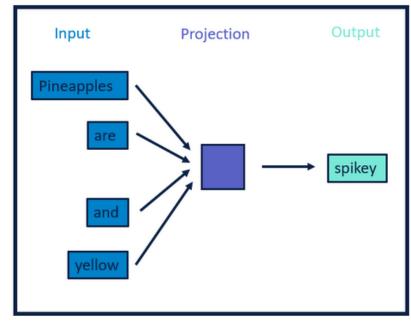
https://aclanthology.org/P14-1023/

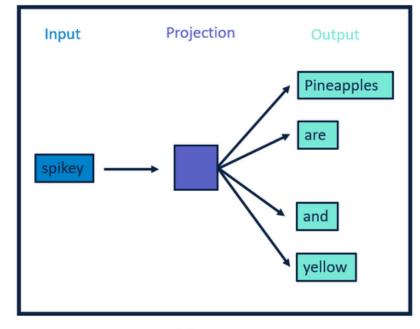


#### THE TWO MODELS BEHIND WORD 2 VEC

### **Contextual Bag Of Word:**

Predicts a target word based on context words.

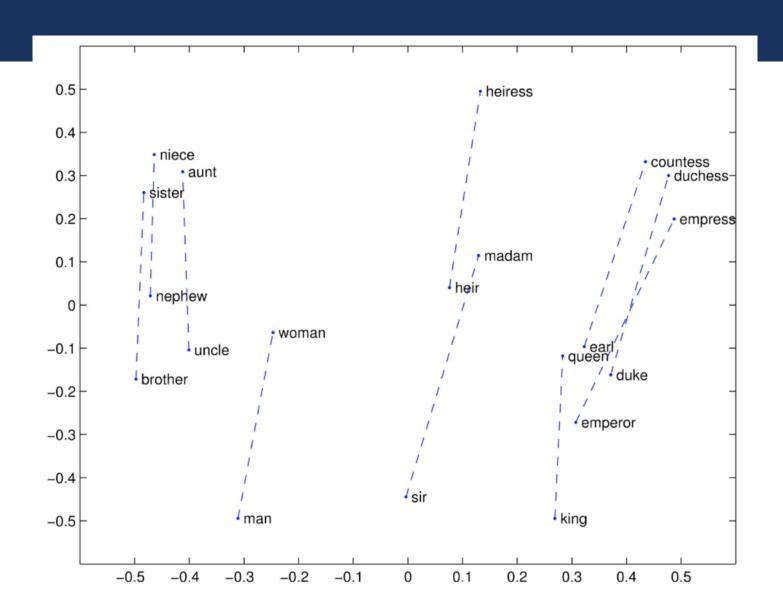




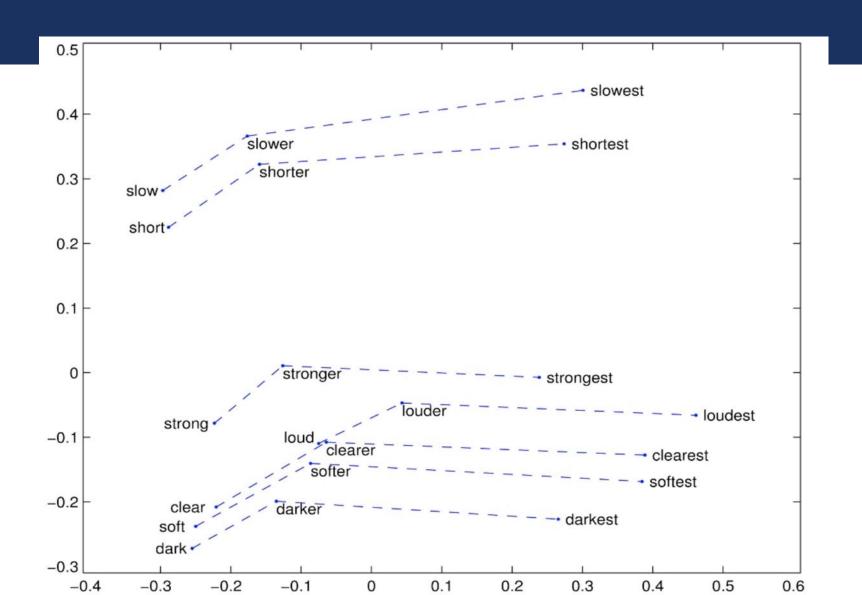
**CBOW** 

Skip-gram

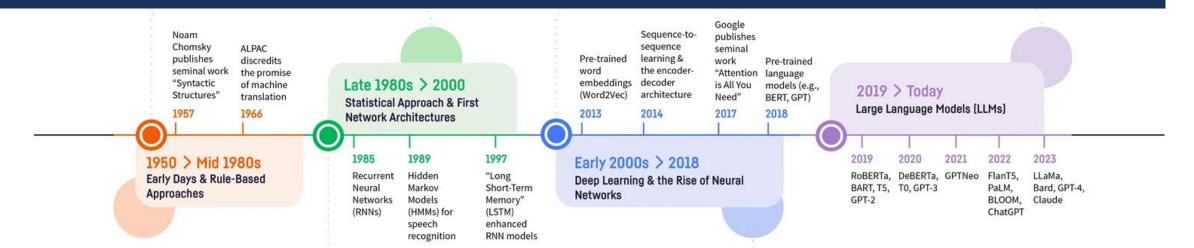
## GEOMETRY AND MEANING ...



## GEOMETRY AND MEANING ...



#### LANGUAGE MODELING

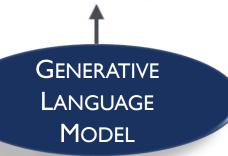


- Language Modeling:
  - Statistical approaches
  - Neural approaches to NL semantics

#### NATURAL LANGUAGE AS A MARKOV PROCESS

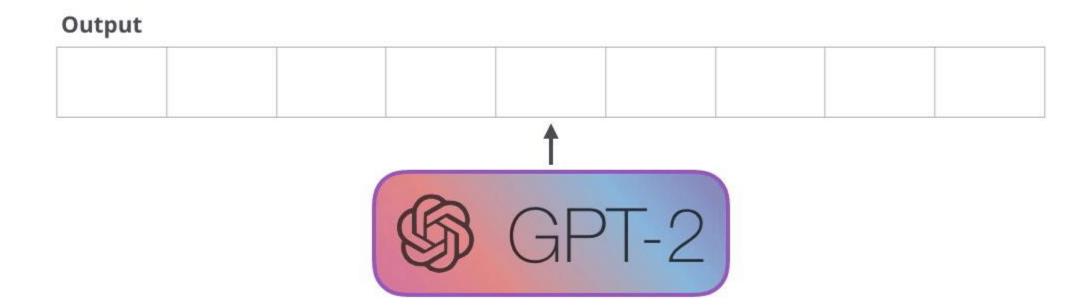
# Output

## Fundamental Questions for Probabilistic Language Models

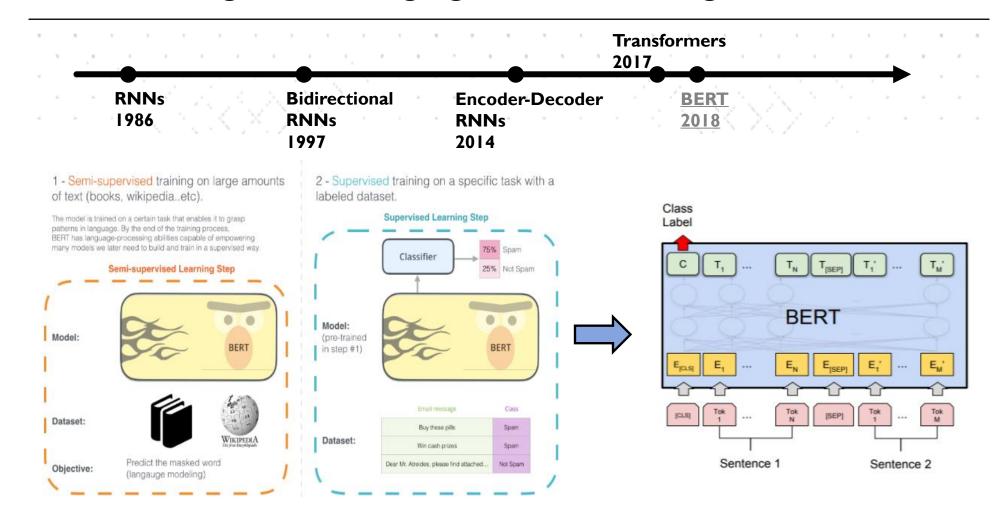


- What is **the most likely word** given the left most recent context?
- What is the probabilty of an entire sentence?
- What is the most likely (inner/hidden) state sequence given the (observable) sentence?

## LANGUAGE MODELING AS A NEURAL DECODING



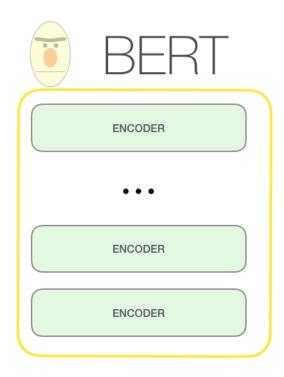
#### **BERT: Encoding Natural Language Semantics through Trasformers**



## BERT (DEVLIN ET AL, 2018)

#### Bidirectional Encoder Representations from Transformers

- Only the encoder is used
- Designed to generate contextual meaningful representation of input words
  - Representations are context sensitive, thanks to self-attention
  - Understand the context of a word in a sentence from both left and right sides (bidirectionally).
- Representations are embeddings
  - not suitable for text generation
  - ... but for many other tasks



Images from https://jalammar.github.io/illustrated-bert/

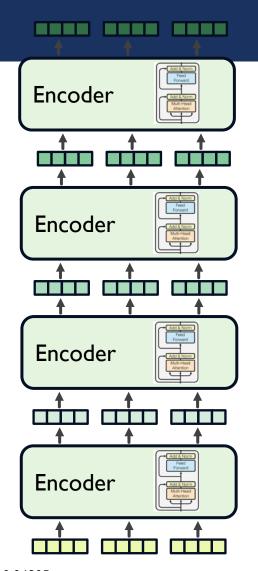
## BERT (DEVLIN ET AL, 2018)

## Why should it work?

 It is just a piece of the Transformer architecture (next in few slides) ago.

## The GREAT IDEA: Pre-Training the encoder

 Pre-trained on a large corpus of text and then fine-tuned for specific tasks like question answering, sentiment analysis, etc.



Images from https://jalammar.github.io/illustrated-bert/

# NO PRE-TRAINING NO PARTY! THE REVOLUTION OF PRE-TRAINING IN NLP

- Simple idea: train a (possibly large) model on a different task and re-use it on your task
  - circumventing the need for training from scratch
  - facilitating "quicker", more effective deployment of the model

#### Precedent in Computer Vision:

- This strategy mirrors developments in computer vision
- Architectures pre-trained on classification tasks using datasets like ImageNet
- When applied on related task, these "starting point" achieve very good results

#### Addressing Overfitting in Large Models:

- With increasing model sizes and parameter counts, the risk of overfitting grows
- Pre-training on vast datasets mitigates this by providing a broad learning base.

#### TOWARDS FOUNDATION MODELS

#### Emergence of Foundation Models in NLP:

 Large-scale models trained on linguistic tasks, forming a versatile base that can be fine-tuned for various specific applications.

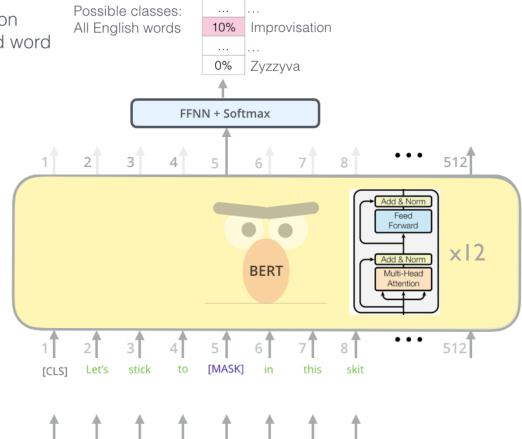
#### Everybody worked on customizing Foundation Models:

- Leverage the extensive knowledge encapsulated in Foundation Models by fine-tuning them for particular NLP tasks.
- If you are interested in foundation models
  - [Zhou et al, 2023] A Comprehensive Survey on Pretrained Foundation Models: A History from BERT to ChatGPT
  - https://arxiv.org/abs/2302.09419

#### PRETRAINING BERT

- BERT takes a sequence of tokens as input
  - Utilizes self-attention across layers to generate context-aware representations of each token in the sequence.
  - In each layer,  $h=12 \mathbf{W}^{Q}$ ,  $\mathbf{W}^{K}$ ,  $\mathbf{W}^{V}$  matrices
- Pre-training tasks:
  - Masked-language modeling

Use the output of the masked word's position to predict the masked word



0.1% Aardvark

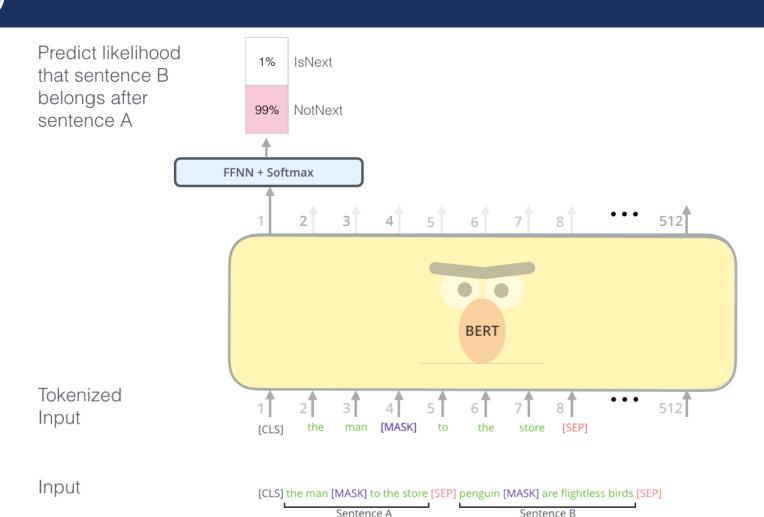
Randomly mask 15% of tokens

Input

## PRETRAINING BERT (2)

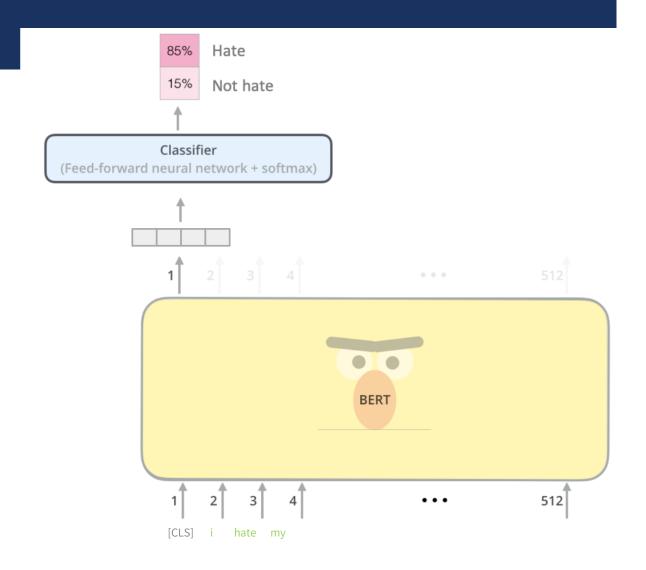
- BERT takes a sequence of tokens as input
  - Utilizes self-attention across layers to generate context-aware representations of each token in the sequence.
  - In each layer, h=12 W<sup>Q</sup>, W<sup>K</sup>, W<sup>V</sup> matrices
- Pre-training tasks:
  - Masked-language modeling
  - Next sentence prediction

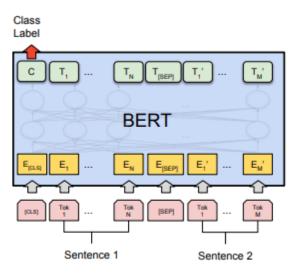
Pretrained using the Toronto BookCorpus (800M words) and English Wikipedia (2,500M words)



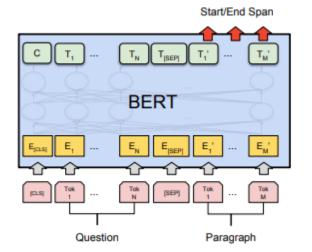
#### BERT AND FINE-TUNING

- Once pretrained, we can apply it to new sentences
- BERT will produce encoded representations for each input symbol
- And it can be used in different classification tasks, just adding a new (linear) classifier...
- ... through fine-tuning of the entire architecture
- not trivial to forget what learned during the pre-training

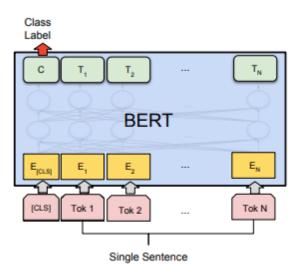




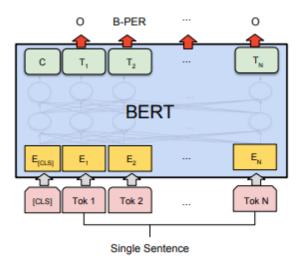
(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



(c) Question Answering Tasks: SQuAD v1.1



(b) Single Sentence Classification Tasks: SST-2, CoLA



(d) Single Sentence Tagging Tasks: CoNLL-2003 NER

#### LANGUAGE MODELING AND REASONING

- Logical Entailment: the axiomatic «logical» view
- Training Automatic Entailment systems
  - From formal logic to NL
  - Recognizing Textual Entailment as a learning modality without any training example
- Applied Textual Entailment
  - Classification of Sentence Pairs as a new task
  - New Task description as Prompting
- Prompting Applications



## ENTAILMENT: THE «LOGICAL» VIEW

Logical implication is used to express the entailment relationship between two subformulas

$$A \to B$$
  $\forall x A(x) \to B(x)$ 

Logics helps in expressing logical reasoning schemata through normalized forms, e.g.,

$$A \to B \equiv \neg A \lor B$$
  $\forall x A(x) \to B(x) \equiv \neg A(e) \lor B(e)$  (after Skolemization)

or equivalent variants

$$A \to B \equiv \neg (A \land \neg B) \qquad \forall x A(x) \to B(x) \equiv \forall x \neg (A(x) \land \neg B(x))$$

#### **ENTAILMENT: SEMANTICS**

- Logical implication is tightly related to semantics, as it is the basis for an efficient approach to logical reasoning.
- Infact  $\{A\} \models B$  iff  $\{\} \models (A \rightarrow B)$  (Worlds where A is true also make B true, i.e.  $A \rightarrow B$  is a tautology)
- B is semantically implied by A (only) if  $(A \rightarrow B)$  is a tautology. This is used for the algorithms based on **proof by contradiction**, i.e.,

$$\{A\} \models B \text{ iff } \{A, \neg B\} \models \bot \text{ or } \text{ (with } \bot \text{ denoting the always false formula)}$$

$$\{\Delta, A\} \vDash B \text{ iff } \{\Delta, A, \neg B\} \vDash \bot$$

#### HOW TO DECIDE ABOUT ENTAILMENT THROUGH TRANSFOMERS

- Logical implication (such as  $\{A\} \models B$ ) is usually managed through **a chain of deductive steps** (as in logic programming) from the input query (i.e. a theorem to be demonstrated) to its fully resolved facts, or through contadictions
- Limitations: not formal treatment of uncertainty, poor coverage (the axiomatic system  $\Delta$  is not fully known a priori), pervasive complexity within large knowledge bases.
  - Neural Networks can be adopted to limit the impact of incompleteness or noise in the reference rules and minimze the risk of mistakes in the entailment decision.
- LANGUAGE KNOWLEDGE allows to employ linguistic semantics for approximating logical deductions
- The deduction chain can be successul or not: this implies that the entire inference can be mapped into a BINARY CLASSIFICATION TASK
- The input correspond to a pair A and B of the sentenced corresponding respectively to the hypothesys (A) and the sentence corresponding to the thesis (B)

#### **ENTAILMENT & TRANSFOMERS**



A possible process is

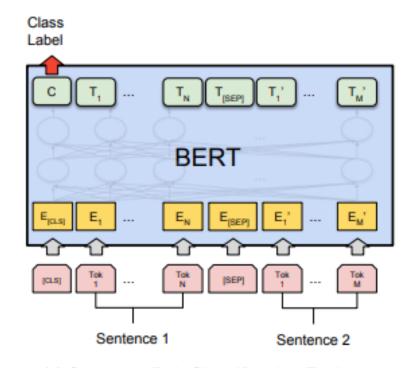
- Map the logical rules (as axioms) into a training dataset
- Map a new potential theorem into a natural language sentence
- Make the sentence the input of a NNs
- Solve the inference task of accepting/rejecting the entailment as a binary classification task

In other words, given a training set of axioms such as

- $\bullet \quad \Delta \colon \{A_1 \to B_1, \dots, A_n \to B_n\}$
- Induce a function RTE such that for every future pair  $(A_i, B_i)$ 
  - $h(A_i, B_j) = true$  iff  $\{\Delta, A_i\} \models B_j$  or alternatively  $h(A_i \rightarrow B_j) = true$  iff  $\{\Delta, A_i\} \models B_j$

#### THE ROLE OF TRASFORMERS

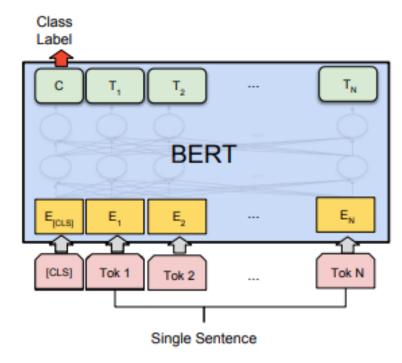
- First setting
  - $h(A_i, B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$
  - Input given by 2 sentences
  - BERT used as the encoder
  - A stacked classifier is trained on labeled pairs
  - Type of Inference:
    - PARAPHRASING
    - TEXTUAL ENTAILMENT



(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG

## THE ROLE OF TRASFORMERS (2)

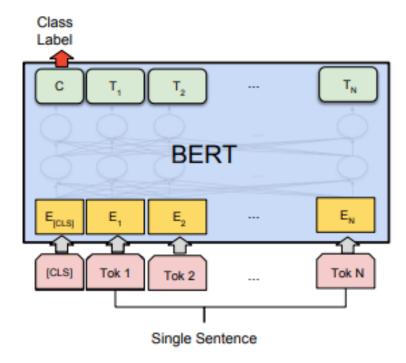
- Second setting
  - $h(A_i \rightarrow B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$
  - Input given I sentence expressing the task over  $A_i$  and  $B_i$
  - BERT used as the encoder
  - A stacked classifier is trained on labeled pairs
  - Example (PARAPHRASING):
  - «The sentence  $B_j$  has the same meaning of sentence  $A_i$ »
  - «Sentence  $A_i$  means the same as  $B_i$ »



(b) Single Sentence Classification Tasks: SST-2, CoLA

## THE ROLE OF TRASFORMERS (3)

- Second setting
  - $h(A_i \rightarrow B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$
  - Input given I sentence expressing the task over  $A_i$  and  $B_i$
  - BERT used as the encoder
  - A stacked classifier is trained on labeled pairs
  - Example (TEXTUAL ENTAILMENT):
  - «The sentence  $B_j$  is implied by sentence  $A_i$ »
  - «Sentence  $A_i$  guarantees the truth of  $B_j$ »



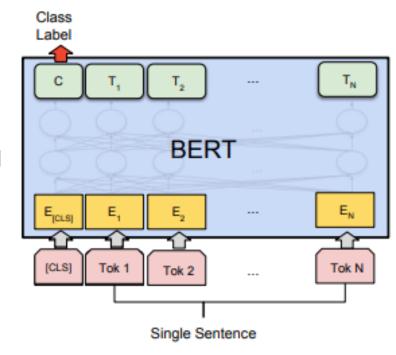
(b) Single Sentence Classification Tasks: SST-2, CoLA

#### NEURAL ENTAILMENT: APPLICATIONS

The setting

$$h(A_i \rightarrow B_j) = true \text{ iff } \{\Delta, A_i\} \Vdash B_j$$

- correspond to sentences that depend on complex interactions between  $A_i$  and  $B_j$  mapped into an individual sentences
  - BERT can be always used as the encoder
  - The stacked classifier is an automatic entailment recognition tool
- Future TEXTUAL ENTAILMENT tasks, e.g., :
  - TOPICAL CLASSIFICATION
    - «The sentence  $B_j$  is classified by label  $A_i$ », «Label  $A_i$  corresponds to the topic of  $B_j$ »
  - SENTIMENT ANALYSIS:
    - « $A_i$  implies the sentiment label  $B_j$ », « $A_i$  expresses sentiment  $B_j$ »



(b) Single Sentence Classification Tasks: SST-2, CoLA

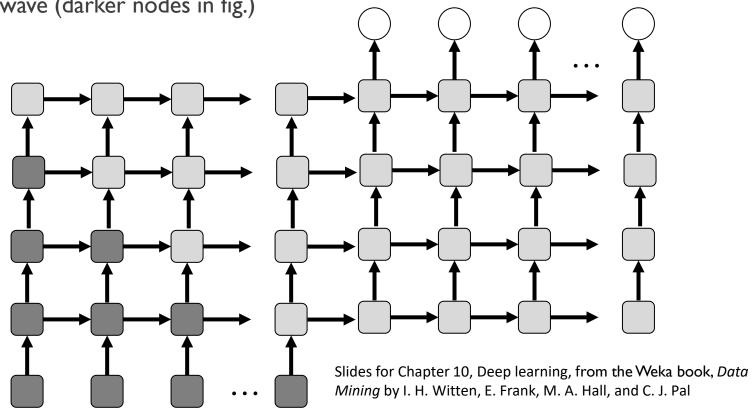
# RETI NEURALI AVANZATE: INTERNALS LA ATTENZIONE ED ITRANSFORMERS

METODI E ARCHITETTURE

#### ENCODER-DECODER DEEP ARCHITECTURES

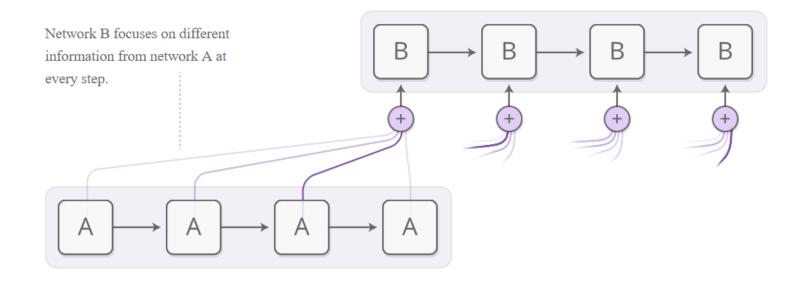
 Given enough data, a deep encoder-decoder architecture (see below) can yield results that compete with hand-engineered translation systems.

The connectivity structure means that partial computations in the model can flow through the graph in a wave (darker nodes in fig.)

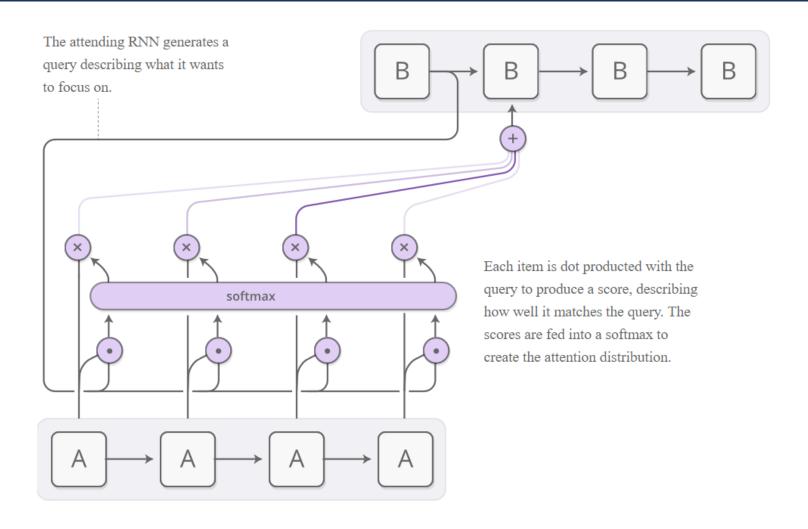


## ATTENTION-BASED RNNS

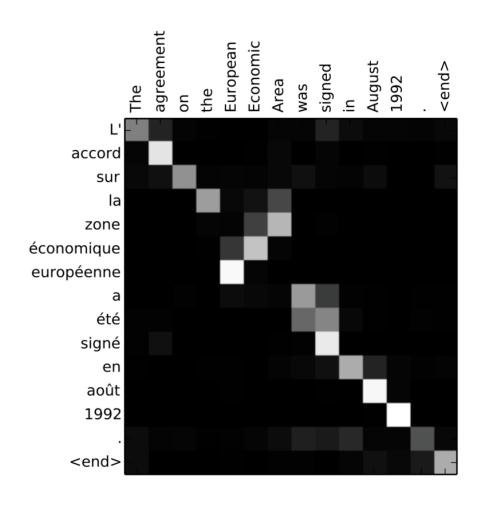
A NN (e.g. B) is used to attend the outcome of a second network A, e.g. (Vaswani et al., 2017)

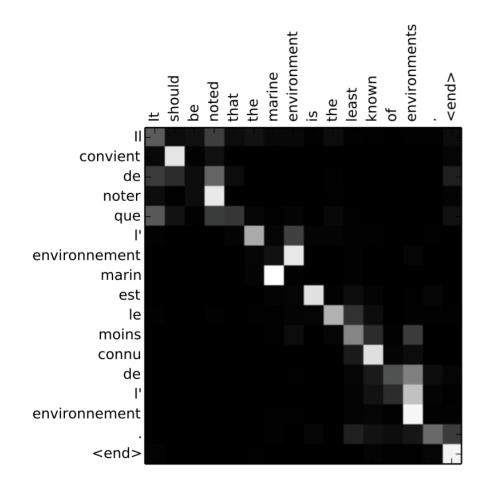


## ATTENTION-BASED RNNS

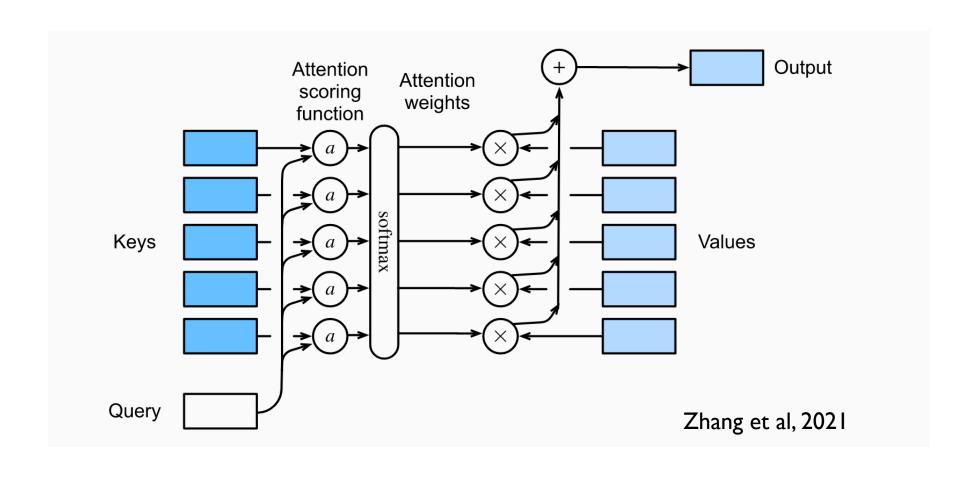


## ATTENTION IN MACHINE TRANSLATION

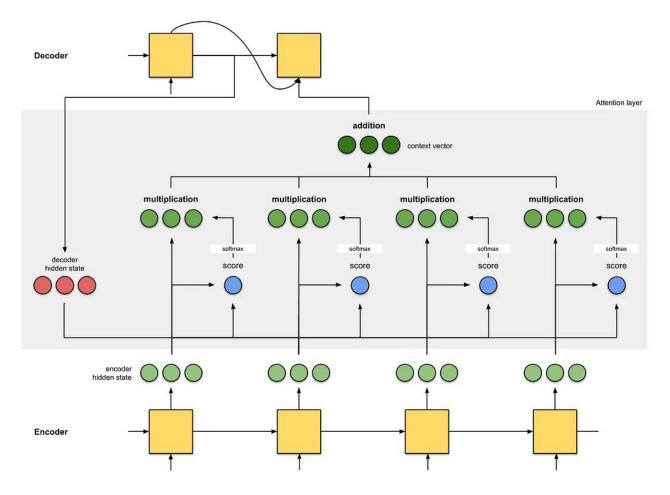




## ATTENTION FUNCTIONS



## ATTENTION IN SEQ2SEQ MODELS

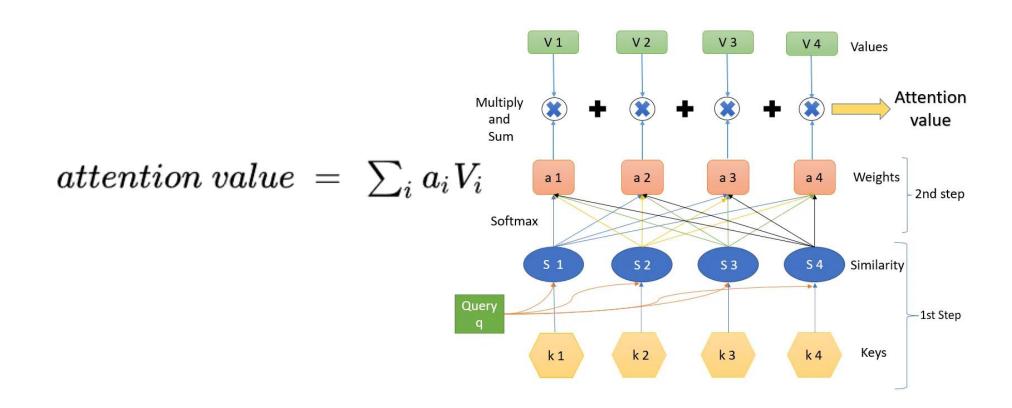


by Manuel Romero: from Attn: Illustrated Attention, by Raimi Karim, Towards Data Science, Jan 20, 2019

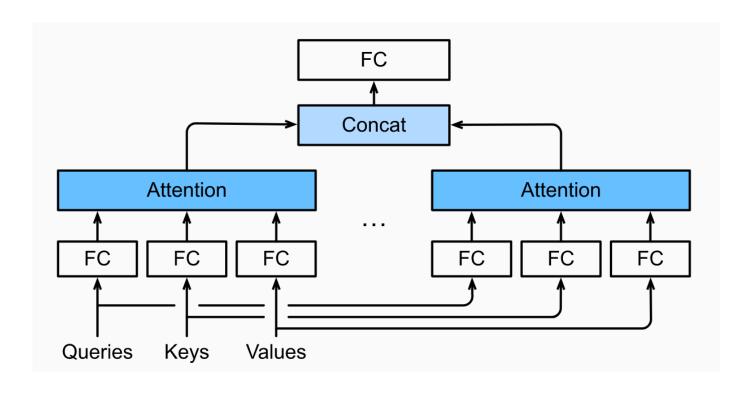
## **SELF-ATTENTION**



## THE ATTENTION INFORMATION FLOW

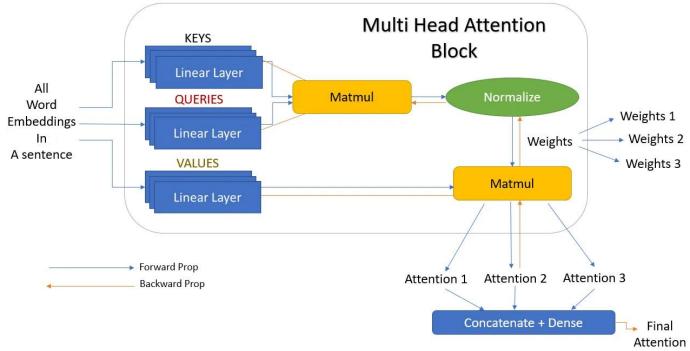


## ATTENTION: MULTIHEAD



#### V 1 V 2 V 3 V 4 Values Attention Multiply value and Sum a 2 a 3 Weights a 4 a 1 2nd step Softmax Similarity -1st Step k 3 Keys

## MULTIHEAD ATTENTION AND TRAINING



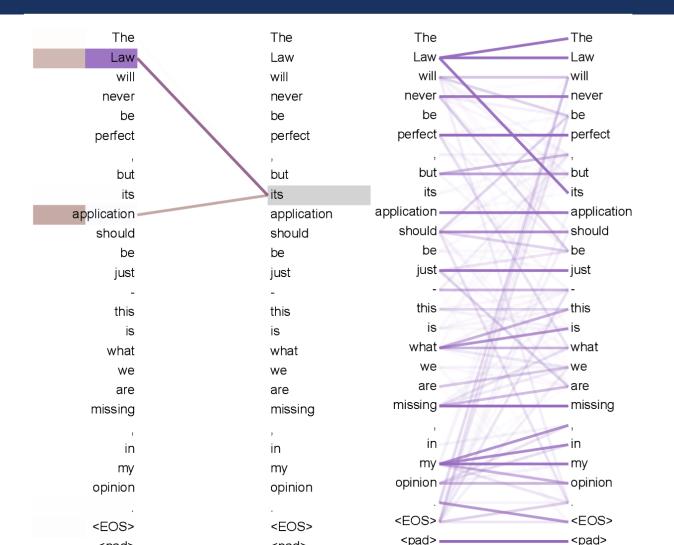
# ATTENTION IN MACHINE TRANSLATION

- Multihead attention is first captured at the encoding level between words in the input
- The different levels encode attention across multiple groups of word
- During Decoding the overall attention is used to condition individual emissions left to right
- As a results, emissions are made dependent on the entire input sequence and all dependencies are captured
- Queries are individual words embeddings, while keys are trained so that attention weights are learned from examples during training
- All attentions are thus targeted to minimize (decoding) errors

#### ATTENTION & ENCONDING

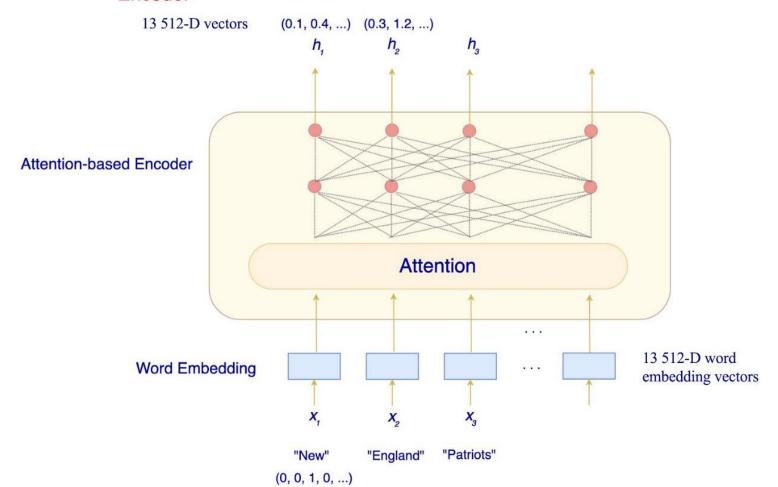
- In a decoding process (e.g. machine translation) there are **three** kinds of dependencies for neural architectures
- Dependencies are independently established between
  - I. the **input and output** tokens
  - 2. the input tokens themselves
  - 3. the output tokens themselves
- Examples:
  - Machine Translation
  - QA where the query the answer paragraph is the input and the matched answer is the output

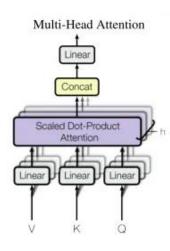
#### ATTENTION AND ANAPHORA



## BERT: EXPLOITING ATTENTION FOR NLP

#### Encoder





## BERT & NLP:TRAINING THE ENCODER (ONLY)

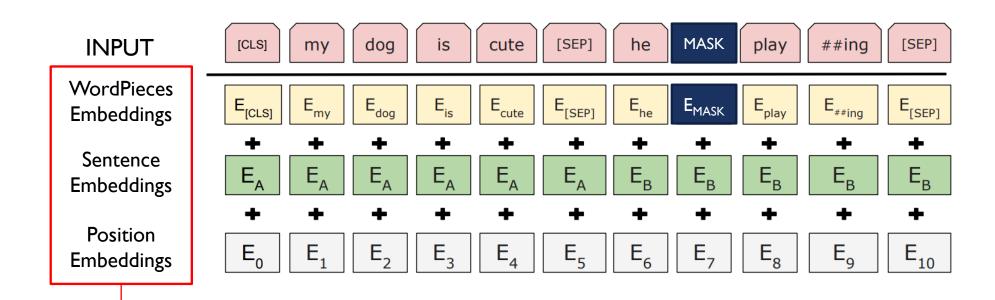
- How to train (i.e. optimize) the encoding?
- Two General and complex tasks are proposed in (Devlin et al., 2018) are
  - Masked Language Modeling (15%)
    - Inpired by Distributional Hypothesis
    - Can be Simulated and does not require any labeling
  - Next Sentence Prediction
    - Inspired by Textual Inference tasks (e.g. Textual Entailment)
    - Can be Simulated and does not require any labeling
- Source Representations
  - Words? And why not subword? (in the BERT jargon) Word Pieces!!
    - Useful to deal with out-of-vocabulary phenomena

## BERT (DEVLIN ET AL. 18)

#### Pretraining on two unsupervised prediction tasks:

- Masked Language Model: given a sentence s with missing words, reconstruct s
  - Example: Amazon < MASK > amazing → Amazon is amazing
  - In BERT the language modeling is deeply Bidirectional, while in ELMo the forward and backward LMs were two
    independent branches of the NN
- Next Sentence Prediction: given two sentences  $s_1$  and  $s_2$ , the task is to understand whether  $s_2$  is the actual sentence that follows  $s_1$ 
  - 50% of the training data are positive examples:  $s_1$  and  $s_2$  are actually consecutive sentences
  - 50% of the training data are negative examples:  $s_1$  and  $s_2$  are randomly chosen from the corpus

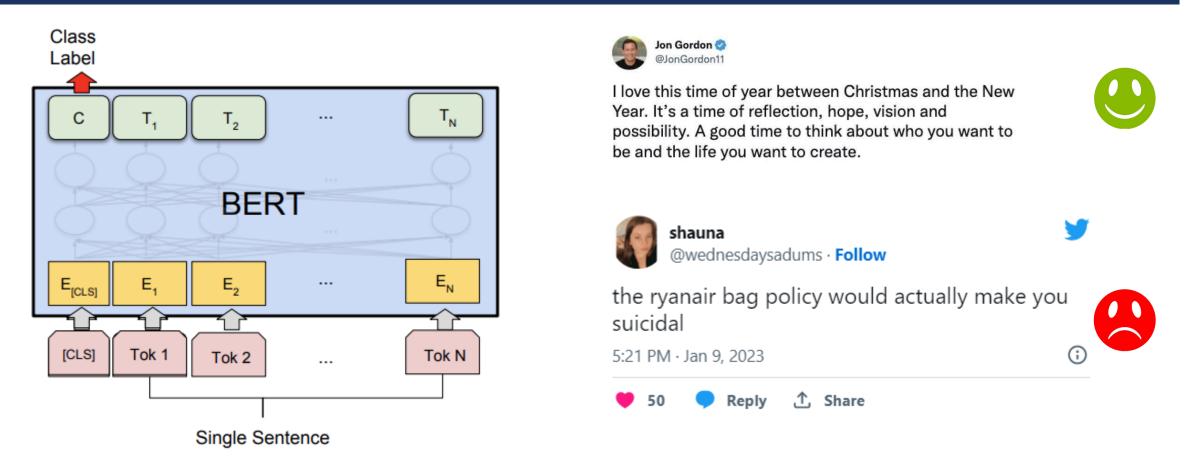
## BERT PRETRAINING: INPUT REPRESENTATIONS



All these embeddings are learned during the (pre)training process

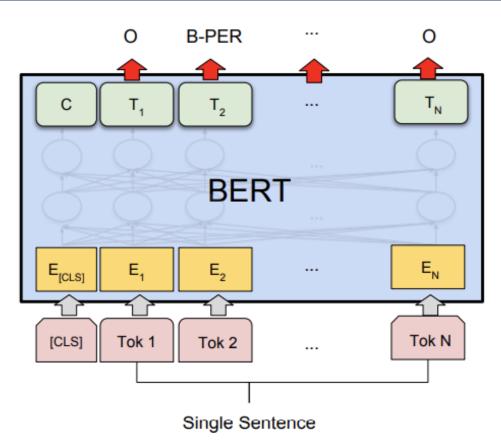
In pre-training 15% of the input tokens are masked for the masked LM task

## BERT (DEVLIN ET AL. 18) TASKS: INDIVIDUAL SENTENCE CLASSIFICATION



BERT for single sentence classification (Sentiment analysis, Intent Classification, etc.)

## BERT (DEVLIN ET AL. 18) TASKS: SEQUENCE TAGGING

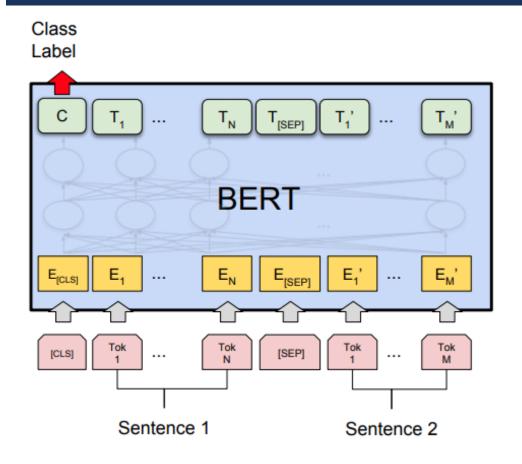


#### Task: Slot tagging

```
|x 178:1 |# BOS |y 128:1 |# O
|x 770:1 |# show |y 128:1 |# 0
|x 429:1 |# flights |y 128:1 |# 0
|x 444:1 |# from
                   |y 128:1 |# O
|x 272:1 | # burbank | y 48:1 | # B-fromloc.city_name
|x 851:1 |# to |y 128:1 |# 0
|x 789:1 |# st. |y 78:1 |# B-toloc.city_name
|x 564:1 |# louis
                   |y 125:1 |# I-toloc.city_name
|x 654:1 |# on
                   |y 128:1 |# O
|x 601:1 |# monday
                   |y 26:1 |# B-depart_date.day_name
|x 179:1 |# EOS
                   |y 128:1 |# o
```

BERT for Sequence Tagging Tasks (e.g., POS tagging, Named Entity Recognition, etc.)

## BERT (DEVLIN ET AL. 18) TASKS: SENTENCE PAIRS CLASSIFICATION



Answer selection in QA: Decide if A contains an answer to Q:

Q:"What is the Capital of Italy?"

A:"Rome, as the capital of Italy, is located ....."

RTE: Given T decide if H is true (or not)

T:"Rome is the Capital of Italy."

H:"Rome is in Italy."

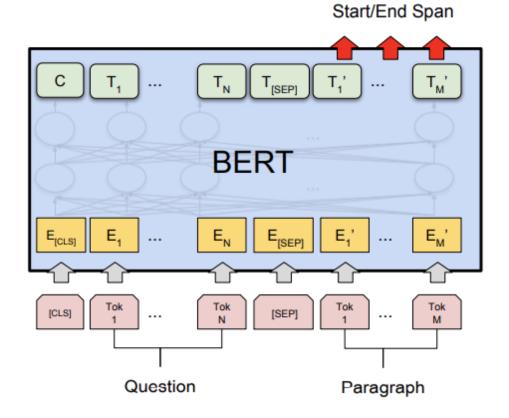
PI: Given S1 and S2 decide if they are paraphrases (or not)

S:I "Rome is the Capital of Italy."

S2:"Italy has Rome as its own Capital town."

BERT for sentence pairs classification (answer selection in QA, Recognizing Textual Entailment, Paraphrase Identification)

## BERT (DEVLIN ET AL. '18) TASKS: QUESTION ANSWERING



Answer Span Selection in QA:

Decide which part of the text A corresponds to the answer to the query Q:

Q:"What is the Capital of Italy?"

A:"<Start>Rome<End>, as the capital of Italy, ....."

BERT for Answer Span Selection in Question Answering

#### A QA EXAMPLE ON SQUAD

- Question Answering even across languages
  - Query in Italian
  - Answer span over English Texts

#### ADITION DIVO

Insert your question here:

Q How is Covid-19 transmitted?

**Q** SEARCH

ex. Sintomi covid-19 sui bambini?

Order by Solr
 Order by BERT
 Order by Solr\*BERT

# In-flight Transmission Cluster of COVID-19: A Covid Retrospective Case Series Running title: In-flight Transmission Cluster of COVID-19

#### figure

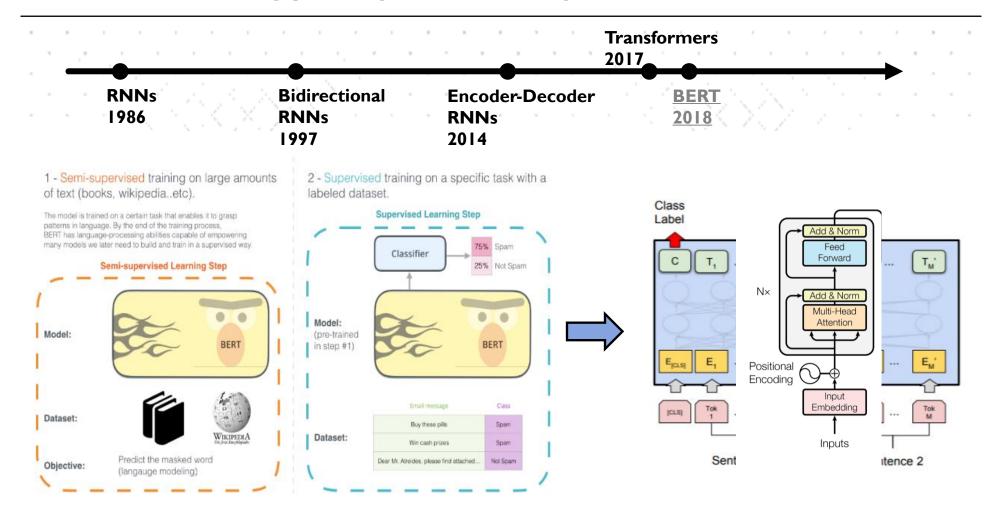
Naibin Yang , Yuefei Shen , Chunwei Shi , Ada Hoi , Yan Ma , Xie Zhang , Xiaomin Jian , Liping Wang , Jiejun Shi , Chunyang Wu , Guoxiang Li , Yuan Fu , Keyin Wang , Mingqin Lu , Guoqing Qian , \* N Yang , Y Shen , C Shi , A Ma

easily transmitted than SARS-CoV [25]. Different from SARS, COVID-19 can be transmitted during the incubation period [26], or by an asymptomatic patient [27]. Features of transmission between SARS and COVID-19 were largely different. For example, health workers account for majority of persons infected with SARS-CoV, while infection with SARS-CoV-2 usually develops in social clusters or family clusters [3]. Wider-Smith reported the first case in-flight transmission of SARS from Singapore [28]. They suggested that it is unlikely to have mass infection of SARS on airplanes. However, we believe it is very likely that mass infection of COVID-19 can occur during a flight, especially when respiratory and contact precautions were not in place. How the SARS-CoV-2 in our study transmitted among the ten passengers was largely unknown. Transmission via aerosol is a possible way for SARS-CoV-2,

# RETI NEURALI AVANZATE: DALL'AUTOENCODING ALLA IA GENERATIVA

METODI E ARCHITETTURE

#### Machine learning paradigms underlying ChatGPT



#### Machine learning paradigms underlying ChatGPT: BART

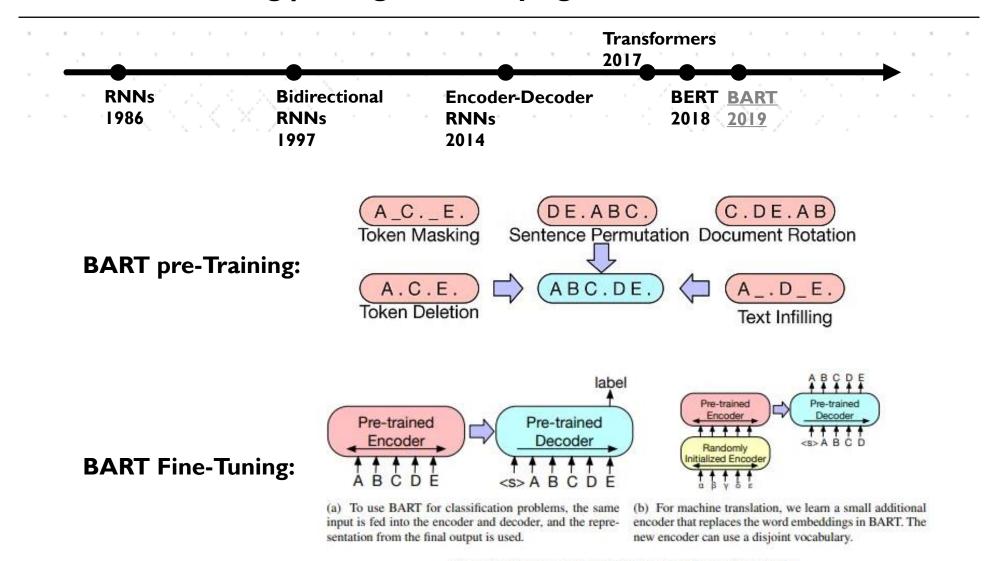


Figure 3: Fine tuning BART for classification and translation.

## GPT-2: DECODER ONLY ARCHITECTURES (RADFORD ET AL., 2019)

- "We demonstrate that language models begin to learn these tasks without any explicit supervision when trained on a new dataset of millions of webpages called WebText"
- GPT-2 is a large transformer-based language model with 1.5 billion parameters, trained on a dataset of 8 million web pages.
- GPT-2 is trained with a simple objective: predict the next word, given all of the previous words within some text.
- The diversity of the dataset causes this simple goal to contain naturally occurring demonstrations of many tasks across diverse domains.
- GPT-2 is a direct scale-up of GPT, with more than I0X the parameters and trained on more than I0X the amount of data

#### **GPT-2: SOURCES OF INSIPIRATION**

Multitask QA Networks (MQAN) (McCann et al, 2018)

#### Examples Context Context Question <u>Answer</u> Question <u>Answer</u> What is a major importance ..Southern Callfornia is a major Areas of the Baltic that have major economic What has something eutrophication of Southern California in relation economic center for the state experienced eutrophication. experienced? to California and the US? of Callfornia and the US.... Cycle of the Werewolf is a short What is the translation Der Großtell der Who is the illustrator of novel by Stephen King, featuring Most of the planet Is Bernie Wrlghtson from English to German? Cycle of the Werewolf? Erde 1st Meerwasser Illustrations by comic book artist ocean water. Bernle Wrightson. What is the Harry Potter star Daniel Harry Potter star What is the change in Are there any Eritrean food: Erltrean summary? Radcliffe gains access to a Daniel Radcliffe gets dialogue state? restaurants in town? reported £320 million fortune... £320M fortune... SELECT notes from table Hypothesis: Product and geography Premise: Conceptually cream The table has column names... What is the translation WHERE are what make cream skimming skimming has two basic Entailment from English to SQL? Tell me what the notes 'Current Slogan' = work. Entailment, neutral, dimensions - product and geography are for South Australla 'South Australia' or contradiction? A stirring, funny and finally Joan made sure to thank Who had given help? transporting re-imagining of Is this sentence Susan for all the help positive Susan Beauty and the Beast and Susan or Joan? positive or negative? she had given. 1930s horror film.

Figure 1: Overview of the decaNLP dataset with one example from each decaNLP task in the order presented in Section 2. They show how the datasets were pre-processed to become question answering problems. Answer words in red are generated by pointing to the context, in green from the question, and in blue if they are generated from a classifier over the output vocabulary.

Our speculation is that a language model with sufficient capacity will begin to learn to infer and perform the tasks
demonstrated in natural language sequences in order to better predict them, regardless of their method of
procurement. If a language model is able to do this it will be, in effect, performing unsupervised multitask learning.

#### **GPT-2: ARCHITECTURE AND TASKS**

From (Radford et al., 2017, GPT paper)

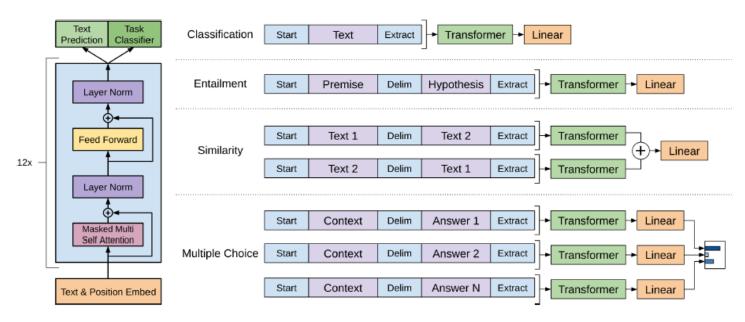


Figure 1: (**left**) Transformer architecture and training objectives used in this work. (**right**) Input transformations for fine-tuning on different tasks. We convert all structured inputs into token sequences to be processed by our pre-trained model, followed by a linear+softmax layer.

#### **GPT-2: RESULTS OVER DIFFERENT TASKS**

#### Language Models are Unsupervised Multitask Learners

	LAMBADA (PPL)	LAMBADA (ACC)	CBT-CN (ACC)	CBT-NE (ACC)	WikiText2 (PPL)	PTB (PPL)	enwik8 (BPB)	text8 (BPC)	WikiText103 (PPL)	1BW (PPL)
SOTA	99.8	59.23	85.7	82.3	39.14	46.54	0.99	1.08	18.3	21.8
117M 345M 762M 1542M	35.13 15.60 10.87 8.63	45.99 55.48 <b>60.12</b> <b>63.24</b>	87.65 92.35 93.45 93.30	83.4 87.1 88.0 89.05	29.41 22.76 19.93 18.34	65.85 47.33 <b>40.31</b> <b>35.76</b>	1.16 1.01 <b>0.97</b> <b>0.93</b>	1.17 1.06 1.02 0.98	37.50 26.37 22.05 <b>17.48</b>	75.20 55.72 44.575 42.16

Table 3. Zero-shot results on many datasets. No training or fine-tuning was performed for any of these results. PTB and WikiText-2 results are from (Gong et al., 2018). CBT results are from (Bajgar et al., 2016). LAMBADA accuracy result is from (Hoang et al., 2018) and LAMBADA perplexity result is from (Grave et al., 2016). Other results are from (Dai et al., 2019).

- The LAMBADA dataset (Paperno et al., 2016)
  - It tests the ability of systems to model long-range dependencies in text.
  - The task is to predict the final word of sentences which require at least 50 tokens of context for a human to successfully predict.

#### GPT-2: RESULTS ON LAMBADA

- The LAMBADA dataset (Paperno et al., 2016)
  - It tests the ability of systems to model long-range dependencies in text.
  - The task is to predict the final word of sentences which require at least 50 tokens of context for a human to successfully predict.

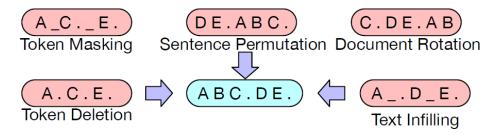
(1)	Context: "Yes, I thought I was going to lose the baby." "I was scared too," he stated, sincerity flooding his eyes. "You were?" "Yes, of course. Why do you even ask?" "This baby wasn't exactly planned for."						
	Target sentence: "Do you honestly think that I would want you to have a?"  Target word: miscarriage						
(2)	Context: "Why?" "I would have thought you'd find him rather dry," she said. "I don't know about that," said Gabriel. "He was a great craftsman," said Heather. "That he was," said Flannery.						
	Target sentence: "And Polish, to boot," said						
	Target word: Gabriel						
(3)	Context: Preston had been the last person to wear those <u>chains</u> , and I knew what I'd see and feel if they were slipped onto my skin-the Reaper's unending hatred of me. I'd felt enough of that emotion already in the amphitheater. I didn't want to feel anymore. "Don't put those on me," I whispered. "Please."						
	Target sentence: Sergei looked at me, surprised by my low, raspy please, but he put down the						
	Target word: chains						
(4)	Context: They tuned, discussed for a moment, then struck up a lively jig. Everyone joined in, turning the courtyard into an even more chaotic scene, people now dancing in circles, swinging and spinning in circles, everyone making						
	up their own dance steps. I felt my feet tapping, my body wanting to move.						
	Target sentence: Aside from writing, I 've always loved						
	Target word: dancing						

- GPT-2 improves the state of the art from 99.8 (Grave et al., 2016) to 8.6 perplexity and increases the accuracy of LMs on this test from 19% (Dehghani et al., 2018) to 52.66%. Adding a stop-word filter as an approximation to this further increases accuracy to 63.24%.
- Investigating GPT-2's errors showed most predictions are valid sentence continuations, but are not valid final words

## BART (LEWIS ET AL., 2019) - FACEBOOK

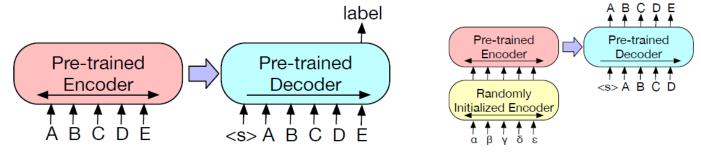
Enconding decoding architecture based on Pretraining and fine tuned towards different tasks such as:

- Two stages of Pretraining
  - Text is first corrupted with an arbitrary noising function,
  - A sequence-to-sequence model is learned to reconstruct the original text.



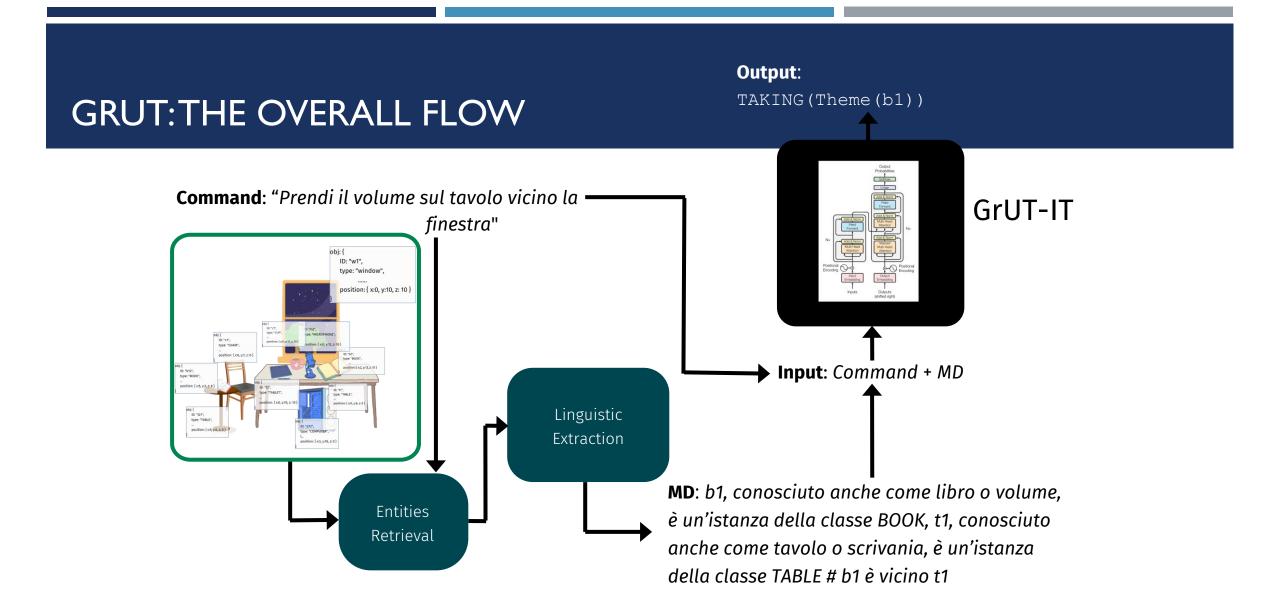
- FINE TUNING:
  - MNLI (Williams et al., 2017), a bitext classification task to predict whether one sentence entails another. The fine-tuned model concatenates the two sentences with appended an EOS token, and passes them to both the BART encoder and decoder. In contrast to BERT, the representation of the EOS token is used to classify the sentences relations.
  - ELI5 (Fan et al., 2019), a long-form abstractive question answering dataset. Models generate answers conditioned on the concatenation of a question and supporting documents.

#### APPLYING BART



- (a) To use BART for classification problems, the same input is fed into the encoder and decoder, and the representation from the final output is used.
- (b) For machine translation, we learn a small additional encoder that replaces the word embeddings in BART. The new encoder can use a disjoint vocabulary.

Figure 3: Fine tuning BART for classification and translation.



Hromei et al, 2022, "Embedding Contextual Information in Seq2seq Models for Grounded Semantic Role Labeling"

#### **EXPERIMENTAL EVALUATION**

FP = Frame Prediction

AIC = Argument Identification and

Classification

EM = Exact Match

HM = Head Match

Model	Learning Rate	FP	AIC- Exact Match	AIC-Head Match	
LU4R	-	95.32%	77.67%	86.35%	
GrUT-IT	5·10 <sup>-5</sup>	96.86%	82.30%	85.19%	

LU4R: TAKING(Theme("libro"))

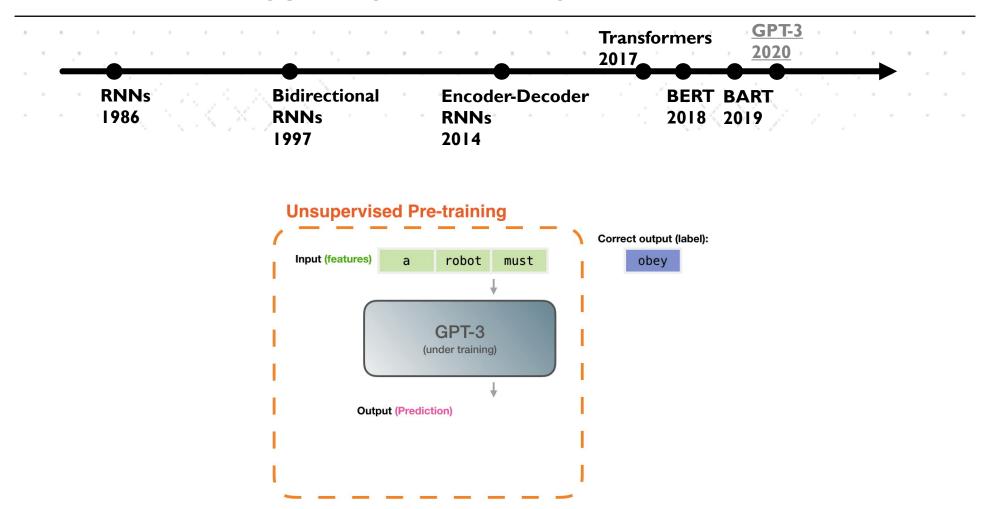
GrUT-IT: TAKING(Theme(b1))

Results here are reported as F1 values on 10-fold cross-validation schema with 80/10/10 data split.

Performance for LU4R is reported in *italic* as it is not entirely comparable with.

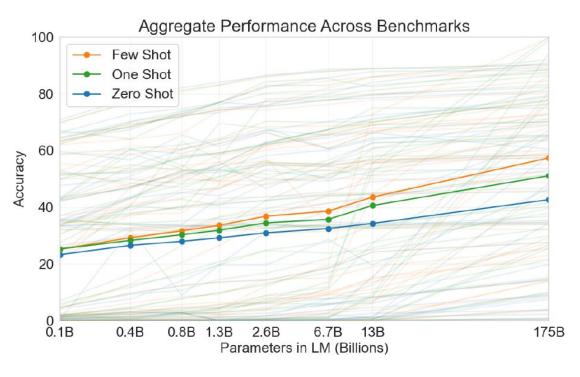


#### Machine learning paradigms underlying ChatGPT



#### **GPT3: NOVELTY**

«Language Models are Few-Shot Learners" (Brown et al., 2020)



**Figure 1.3: Aggregate performance for all 42 accuracy-denominated benchmarks** While zero-shot performance improves steadily with model size, few-shot performance increases more rapidly, demonstrating that larger models are more proficient at in-context learning. See Figure 3.8 for a more detailed analysis on SuperGLUE, a standard NLP benchmark suite.

#### PROMPTING VS. LEARNING

The three settings we explore for in-context learning

#### Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

```
Translate English to French: ← task description

cheese => ← prompt
```

#### One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.

```
Translate English to French: ← task description

sea otter => loutre de mer ← example

cheese => ← prompt
```

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
Translate English to French: task description

sea otter => loutre de mer examples

peppermint => menthe poivrée

plush girafe => girafe peluche

cheese => prompt
```

Traditional fine-tuning (not used for GPT-3)

#### Fine-tuning

The model is trained via repeated gradient updates using a large corpus of example tasks.



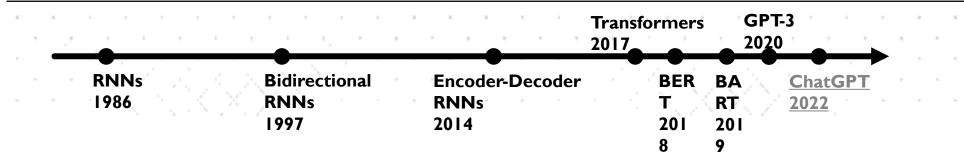
#### GPT-3: SIZE

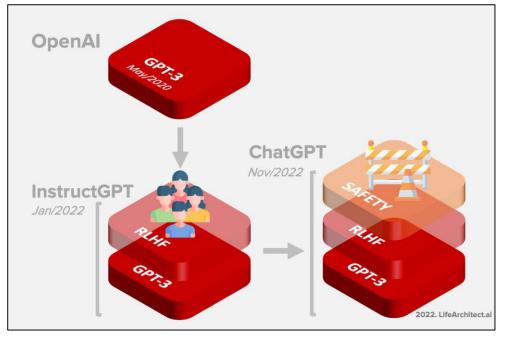
Model Name	$n_{\mathrm{params}}$	$n_{ m layers}$	$d_{ m model}$	$n_{ m heads}$	$d_{ m head}$	Batch Size	Learning Rate
GPT-3 Small	125M	12	768	12	64	0.5M	$6.0 \times 10^{-4}$
GPT-3 Medium	350M	24	1024	16	64	0.5M	$3.0 \times 10^{-4}$
GPT-3 Large	760M	24	1536	16	96	0.5M	$2.5 \times 10^{-4}$
GPT-3 XL	1.3B	24	2048	24	128	1M	$2.0 \times 10^{-4}$
GPT-3 2.7B	2.7B	32	2560	32	80	1M	$1.6 \times 10^{-4}$
GPT-3 6.7B	6.7B	32	4096	32	128	2M	$1.2 \times 10^{-4}$
GPT-3 13B	13.0B	40	5140	40	128	2M	$1.0 \times 10^{-4}$
GPT-3 175B or "GPT-3"	175.0B	96	12288	96	128	3.2M	$0.6 \times 10^{-4}$

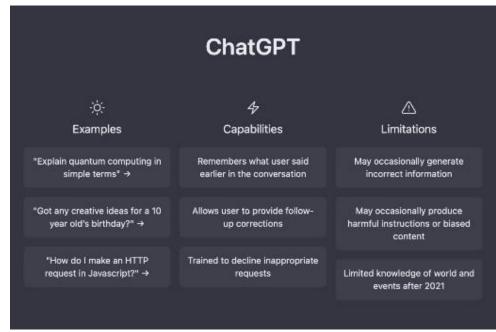
**Table 2.1:** Sizes, architectures, and learning hyper-parameters (batch size in tokens and learning rate) of the models which we trained. All models were trained for a total of 300 billion tokens.

- Here  $n_{params}$  is the total number of trainable parameters,  $n_{layers}$  is the total number of layers,  $d_{model}$  is the number of units in each bottleneck layer (we always have the feedforward layer four times the size of the bottleneck layer,  $d_{ff}=4\times d_{model}$ ), and  $d_{head}$  is the dimension of each attention head.
- All models use a context window of  $n_{ctx} = 2048$  tokens

#### Machine learning paradigms underlying ChatGPT







#### LIMITATIONS OF GPT-3

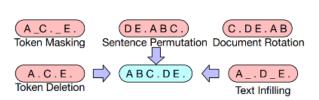
- Large language models often express unintended behaviors such as making up facts, generating biased or toxic text, or simply not following user instructions. This is because the language modeling objective is misaligned.
- The idea: aligning language models by training them to act in accordance with the user's intention (Leike et al., 2018).
  - explicit intentions such as following instructions
  - implicit intentions such as staying truthful, and not being biased, toxic, or otherwise harmful.
- Overall Objective: language models should be helpful (they should help the user solve their task), honest (they shouldn't fabricate information or mislead the user), and harmless (they should not cause physical, psychological, or social harm to people or the environment).

#### INSTRUCT GPT

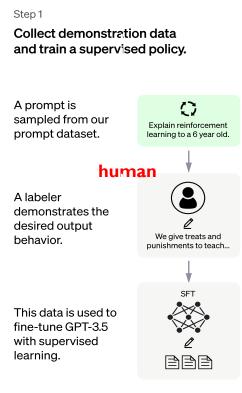
- **Step 1**: Collect demonstration data, and train a supervised policy. Labelers provide demonstrations of the desired behavior on the input prompt distribution. Then, fine-tuning of a pretrained GPT-3 model on this data using supervised learning is carried out.
- **Step 2**: Collect comparison data, and train a reward model. A dataset of comparisons between model outputs is collected: labelers indicate which output they prefer for a given input. A reward model to predict the human-preferred output is then trained.
- **Step 3:** Optimize a policy against the reward model using PPO. We use the output of the RM as a scalar reward. We fine-tune the supervised policy to optimize this reward using the proximal policy optimization (PPO) algorithm (Schulman et al., 2017).

#### At the heart of ChatGPT (from BART to ChatGPT)

#### **BART Training-steps** Bidirectional Autoregressive Encoder Decoder



<s> A B C D



Fine tune text-davinci-003 to get InstructGPT

#### **ChatGPT Training-steps**

Step 2 Collect comparison data and train a reward model.

A prompt and several model outputs are sampled.

human

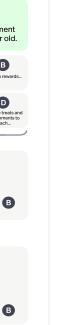
to worst.

A labeler ranks the

outputs from best



This data is used to train our reward model.

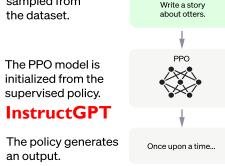


Step 3

Optimize a policy against the reward model using the PPO reinforcement learning algorithm.

A new prompt is sampled from the dataset.

The PPO model is

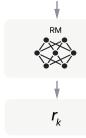


The policy generates

an output.

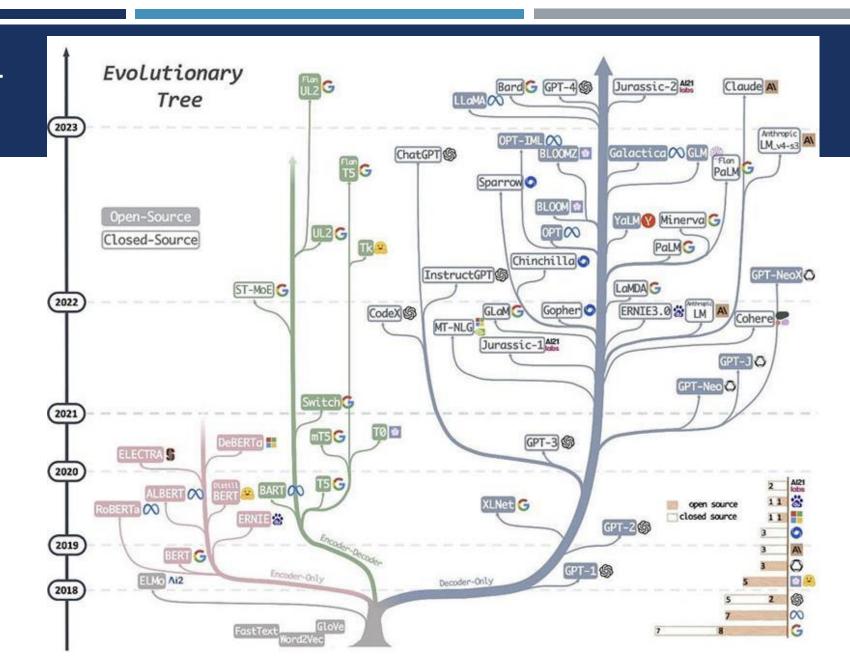
The reward model calculates a reward for the output.

The reward is used to update the policy using PPO.



#### The Environment

# FOUNDATIONAL MODELS



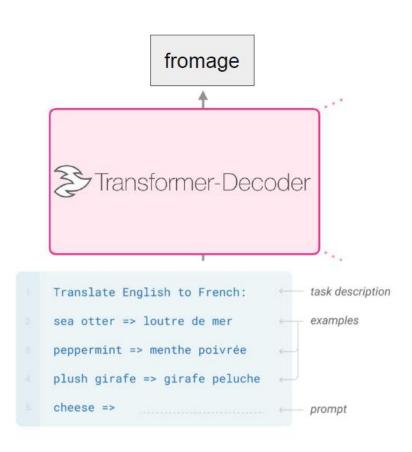
## MORE ON PROMPTING

## **LEARNING MODALITIES**

- Fine Tuning (see BERT/BART)
- In-context learning
- Prompting

#### IN-CONTEXT LEARNING

- Pretrain a large language model on a task
- Manually design a «prompt» that shows how to define a novel taks as a generation task
- There is no need to train further the model, i.e. update model weights



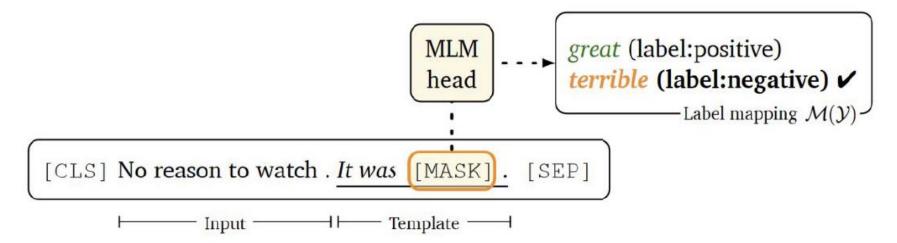
#### **PROMPTING**

- "A good prompt is one that is specific and provides enough context for the model to be able to generate a response that is relevant to the task." (GPT-3)
- Earliest work in prompts traces back to GPT-1/2 (Radford et al., 2018,2019)
- If LMs are given good prompts they can achieve significant zero-shot performance on NLP tasks ranging from sentiment classification to reading comprehension

#### PROMPT BASED FINE TUNING

**FINETUNING**: more paremeters for the stacked classifier, more examples (even in few-shot scenarios)

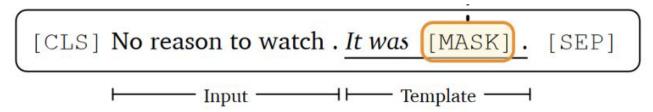
**PROMPT-BASED FINE TUNING:** need for good prompts, no further parameters to tune



#### PROMPT-BASED FINE TUNING: THE PROCESS

Input:  $x_1$  = No reason to watch.

**Step 1.** Formulate the downstream task into a (Masked) LM problem using a *template:* 



**Step 2.** Choose a *label word mapping* M, which maps task labels to individual words.

```
great (label:positive)

terrible (label:negative) ✓

Label mapping M(Y)
```

#### PROMPT-BASED FINE TUNING: THE PROCESS

**Step 3.** Fine-tune the LM to fill in the correct label word.

$$p(y \mid x_{\text{in}}) = p\left( \left[ \text{MASK} \right] = \mathcal{M}(y) \mid x_{\text{prompt}} \right)$$
$$= \frac{\exp\left( \mathbf{w}_{\mathcal{M}(y)} \cdot \mathbf{h}_{\left[ \text{MASK} \right]} \right)}{\sum_{y' \in \mathcal{Y}} \exp\left( \mathbf{w}_{\mathcal{M}(y')} \cdot \mathbf{h}_{\left[ \text{MASK} \right]} \right)},$$

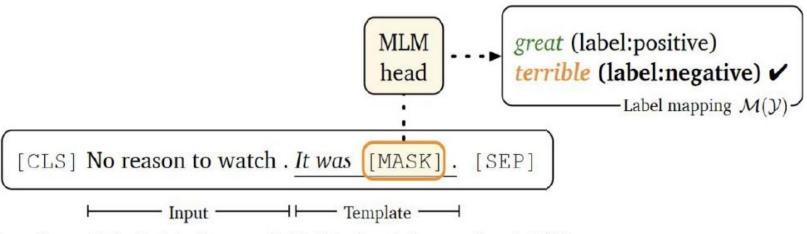


Image Source: Making Pre-trained Language Models Better Few-shot Learners, Gao, et al. 2021

#### PROMPT BASED FINE TUNING: TASKS

SST-2: sentiment analysis.

- E.g. **S1** = "The movie is ridiculous". **Label**: negative.
- Manual prompt:

Template	Label words
$< S_1 > $ It was [MASK] .	great/terrible

#### SNLI: Natural Language Inference

- **S1** = "A soccer game with multiple males playing". **S2** = "Some men are playing sport". **Label**: Entailment.
- Manual prompt:

Template	Label words		
$\langle S_1 \rangle$ [MASK], $\langle S_2 \rangle$	Yes/Maybe/No		

#### **PROMPTING**



Very very large language
Unchanged Model Parameters
Usually human-designed **prompts** and **demonstrations** 



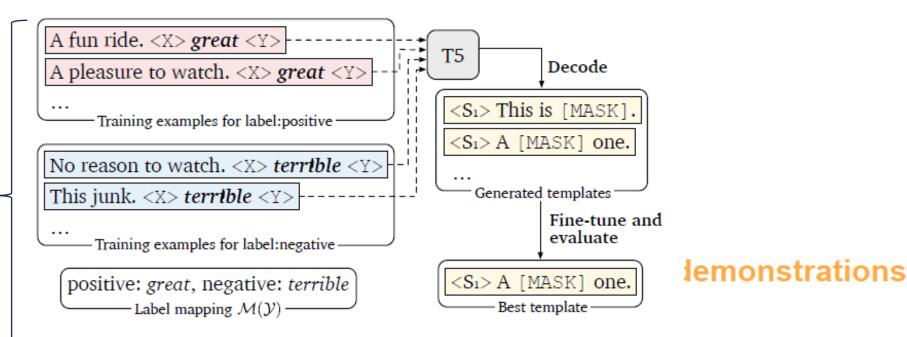


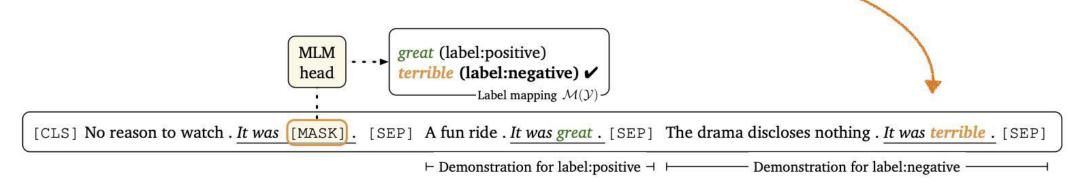
Figure 2: Our approach for template generation.

## DATASETS

Category	Dataset	$ \mathcal{Y} $	Туре	Labels (classification tasks)
	SST-2	2	sentiment	positive, negative
	SST-5	5	sentiment	v. pos., positive, neutral, negative, v. neg.
	MR	2	sentiment	positive, negative
single-	CR	2	sentiment	positive, negative
sentence	<b>MPQA</b>	2	opinion polarity	positive, negative
	Subj	2	subjectivity	subjective, objective
	TREC	6	question cls.	abbr., entity, description, human, loc., num.
6 <u>4</u>	CoLA	2	acceptability	grammatical, not_grammatical
	MNLI	3	NLI	entailment, neutral, contradiction
	SNLI	3	NLI	entailment, neutral, contradiction
sentence-	<b>QNLI</b>	2	NLI	entailment, not_entailment
pair	RTE	2	NLI	entailment, not_entailment
	<b>MRPC</b>	2	paraphrase	equivalent, not_equivalent
	QQP	2	paraphrase	equivalent, not_equivalent
-	STS-B	$\mathcal{R}$	sent. similarity	a-

#### PROMPT BASED ON DEMONSTRATION

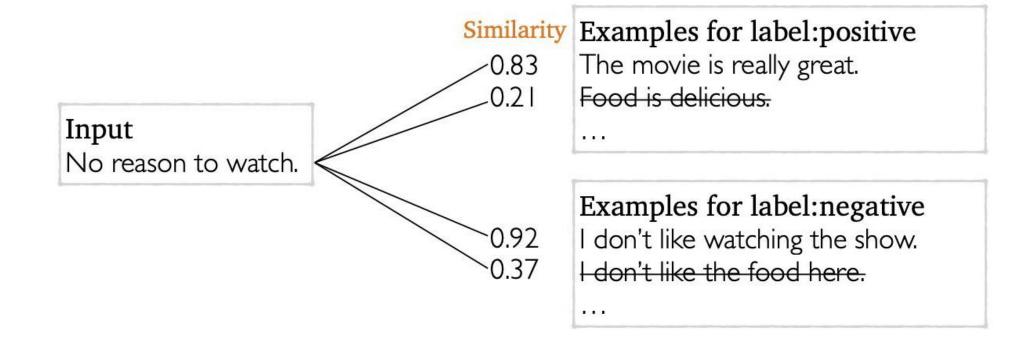
 Demonstration is based on the idea that in few-shot learning you can exemplify a task by using instances from the training set that demonstrate how to solve a task



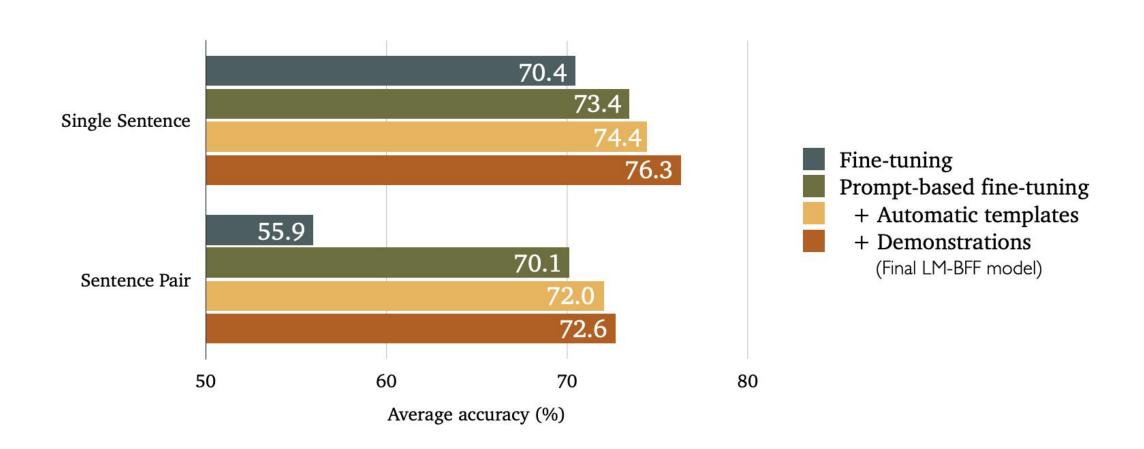
Prompt-based fine-tuning with demonstrations

 Selective demonstration (INTUITION): Apply demonstrations that are semantically close to the input for optimal results

#### **EXAMPLES OF DEMONSTRATIONS**



#### PROMPTING WITH DEMOSTRATIONS



## APPLICAZIONI DELLE RETI NEURALI: USE CASES

IMMAGINI: OBJECT DETECTION, ENCODING, MAP COLOURING, RAGS



#### TASK: TABLES-TO-TEXT

#### 3 Datasets

- DART: Triplets similar to WebNLG, but bigger and on all Wikipedia tables.
   (Open domain)
- (Nan et al. 2021)

Parent-child		[TITLE]: NFL Europe Stadiums						
relations provided			Team	Stadium	Stadium	Team		
by internal annotator	→	Team	Stadium	Capacity	Opened	City		
		Amsterdam Admirals	Amsterdam Arena	51,859	1996	Amsterdam, The Netherlands		
Surface realization provided by internal / MTurk		Amsterdam Admirals	Olympisch Stadion	31,600	1928	Amsterdam, The Netherlands		
		Barcelona Dragons	Mini Estadi	15,276	1982	Barcelona, Spain		
annotator								

"The Amsterdam Admirals play in the Olympisch Stadion, which opened in 1928."

## TASK: **NEURAL AUTHORING**



write a biblical verse in the style of the king james bible explaining how to remove a peanut butter sandwich from a VCR



And it came to pass that a man was troubled by a peanut butter sandwich, for it had been placed within his VCR, and he knew not how to remove it.





And he cried out to the Lord, saying, "Oh, Lord, how can I remove this sandwich from my VCR, for it is stuck fast and will not budge?"

And the Lord spoke unto him, saying, "Fear not, my child, for I shall guide thy hand and show thee the way. Take thy butter knife, and carefully insert it between the sandwich and the VCR, and gently pry them apart. And with patience and perseverance, the sandwich shall be removed, and thy VCR shall be saved."

And the man did as the Lord commanded, and lo and behold, the sandwich was removed from the VCR, and the man was saved.

And the Lord said, "Verily I say unto thee, seek not to put thy peanut butter sandwiches in thy VCR, for it is not a suitable place for such things. Rather, keep thy sandwiches in thy refrigerator or on thy plate, where they belong."

And the man heeded the Lord's words, and from that day forth, he kept his sandwiches in their proper place, and was saved from trouble and woe. Amen.

#### **SUMMARIZATION**

- Given a long (fragment of) document generate few summary sentences
- XSUM data set
  - BBC News articles
  - Depends on several parts of the text, i.e., not just few sentence selection
  - Designed to amplify the abstractive power of the trasformer decoder
  - (Narayan et al, 2018)

SUMMARY: A man and a child have been killed after a light aircraft made an emergency landing on a beach in Portugal.

**DOCUMENT:** Authorities said the incident took place on Sao Joao beach in Caparica, south-west of Lisbon.

The National Maritime Authority said a middleaged man and a young girl died after they were unable to avoid the plane.

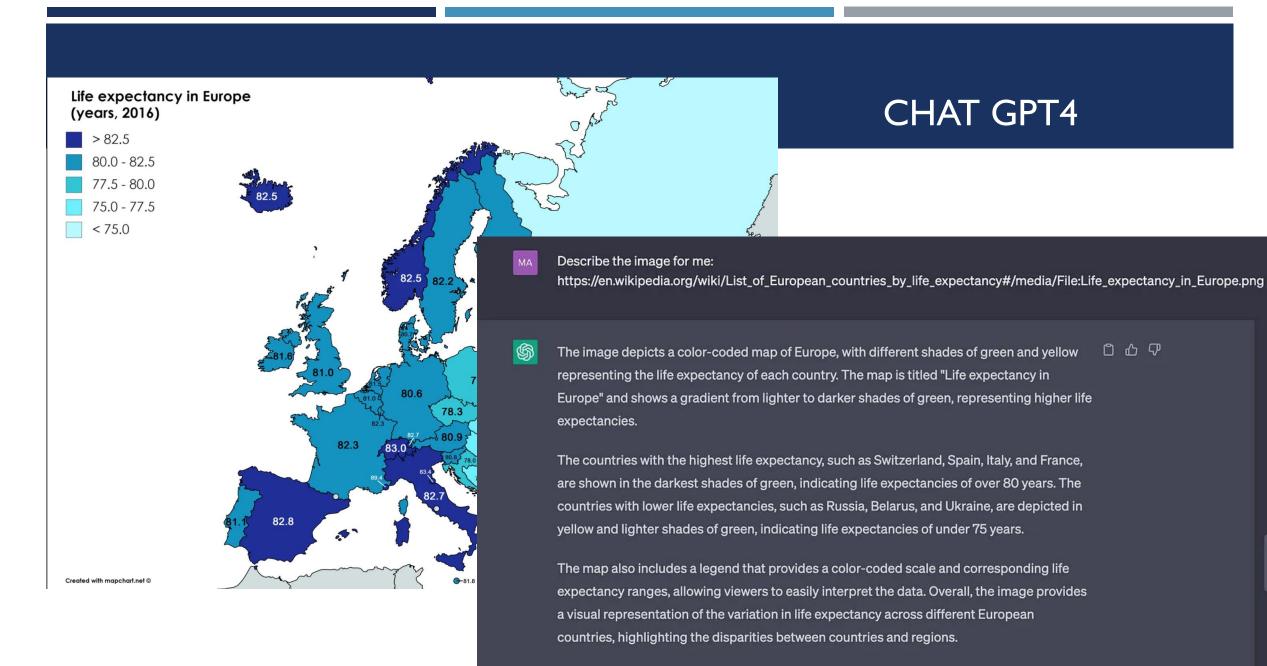
[6 sentences with 139 words are abbreviated from here.]

Other reports said the victims had been sunbathing when the plane made its emergency landing.

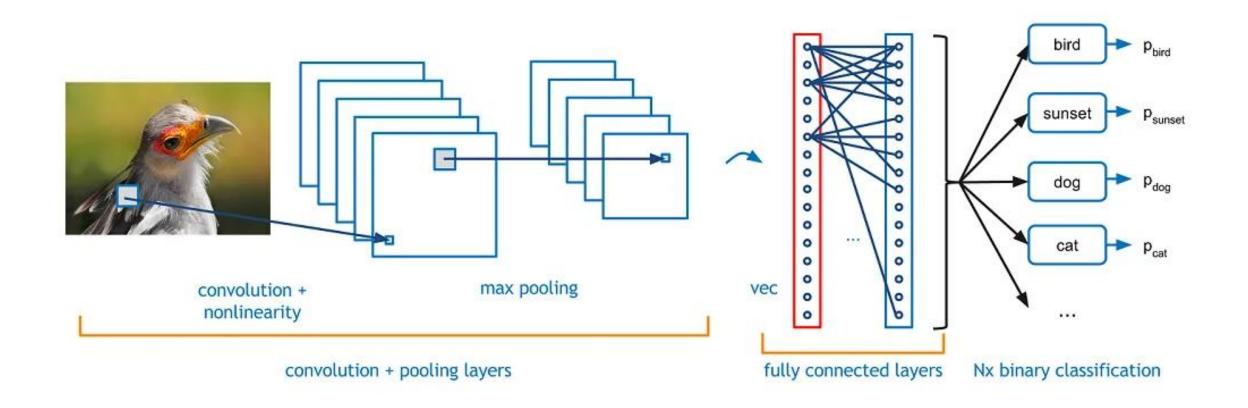
[Another 4 sentences with 67 words are abbreviated from here.]

Video footage from the scene carried by local broadcasters showed a small recreational plane parked on the sand, apparently intact and surrounded by beachgoers and emergency workers.

[Last 2 sentences with 19 words are abbreviated.]

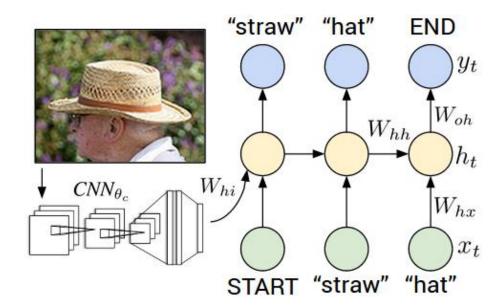


## OBJECT DETECTION WITH CNNS



#### IMAGE CAPTIONING: ADVANCED ARCHITECTURES

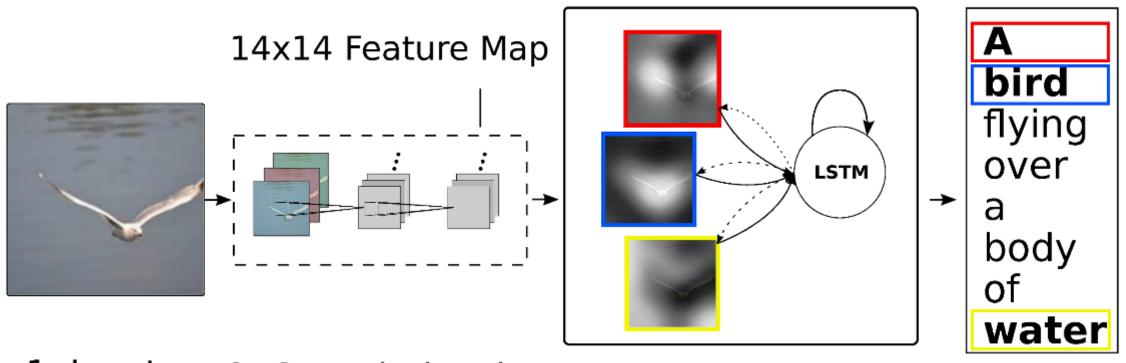
- Image to captions
  - Convolutional Neural Network to learn a representation of the image
  - (Bi-directional) Recurrent Neural Network to generate a caption describing the image
    - its input is the representation computed from the CNN
    - its output is a sequence of words, i.e. the caption





"baseball player is throwing ball in game."

#### THE ARCHITECTURE



- 1. Input Image
- 2. Convolutional 5 Feature Extraction
  - 3. RNN with attention nover the image
- 4. Word by word generation

## ATTENTION: THE BRIDGE BETWEEN VISION AND LANGUAGE





## INTEGRATED VISION AND LANGUAGE PROCESSING: IMAGE CAPTIONING AND ATTENTION



A woman is throwing a frisbee in a park.

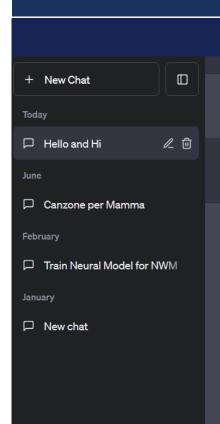


A <u>dog</u> is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.

#### **ESEMPI**

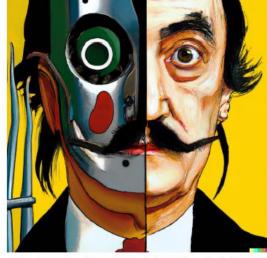




#### **DALL-E** History Collections

Edit

In



vibrant portrait painting of Salvador Dalí with a robotic half face



a shiba inu wearing a beret and black turtleneck



a close up of a handpalm with leaves growing from it



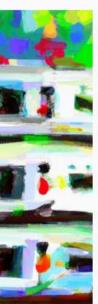
an espresso machine that makes coffee from human souls, artstation



panda mad scientist mixing sparkling chemicals, artstation



a corgi's head depicted as an explosion of a nebula



#### NEURAL ENCODING-DECODING FOR DALL-E

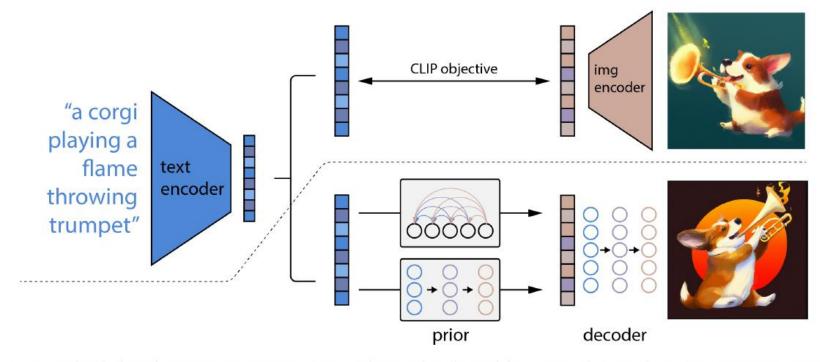


Figure 2: A high-level overview of unCLIP. Above the dotted line, we depict the CLIP training process, through which we learn a joint representation space for text and images. Below the dotted line, we depict our text-to-image generation process: a CLIP text embedding is first fed to an autoregressive or diffusion prior to produce an image embedding, and then this embedding is used to condition a diffusion decoder which produces a final image. Note that the CLIP model is frozen during training of the prior and decoder.

#### MULTIMODAL NNS: INTEGRATING IMAGE AND TEXTS IN CLIP

- Object Recognition usually employs ad hoc training data sets implying ad hoc CNN models
- The paper (\*) demonstrates that the simple pre-training task of predicting which caption goes with which image is an efficient and scalable way to learn SOTA image representations from scratch on a dataset of 400 million (image, text) pairs collected from the internet.
- After pre-training, natural language is used to reference learned visual concepts (or describe new ones) enabling zero-shot transfer of the model to downstream tasks.
- Zero-shot learning: solving an object recognition task without ANY training example
- The IDEA: Optimizing the behaviours of image classifiers trained with natural language supervision at large scale.

(\*) Learning Transferable Visual Models From Natural Language Supervision, Redford et al, 2021, https://arxiv.org/abs/2103.00020v1

# CLIP (CONTRASTIVE LANGUAGE-IMAGE PRE-TRAINING)

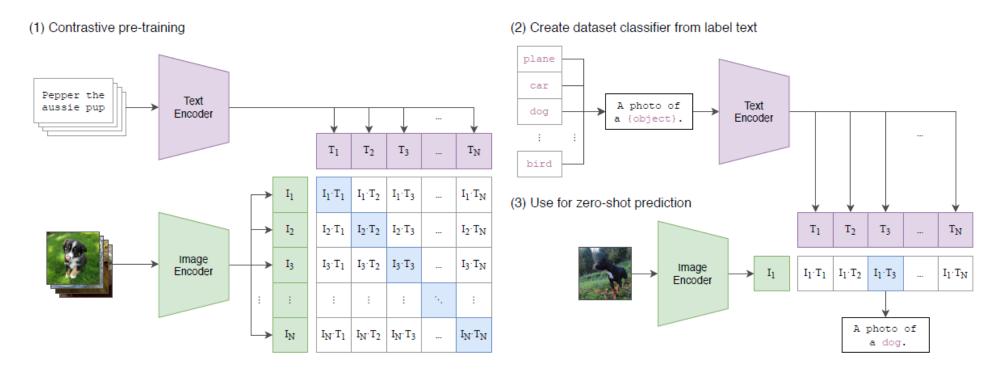
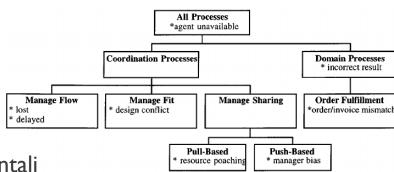


Figure 1. Summary of our approach. While standard image models jointly train an image feature extractor and a linear classifier to predict some label, CLIP jointly trains an image encoder and a text encoder to predict the correct pairings of a batch of (image, text) training examples. At test time the learned text encoder synthesizes a zero-shot linear classifier by embedding the names or descriptions of the target dataset's classes.

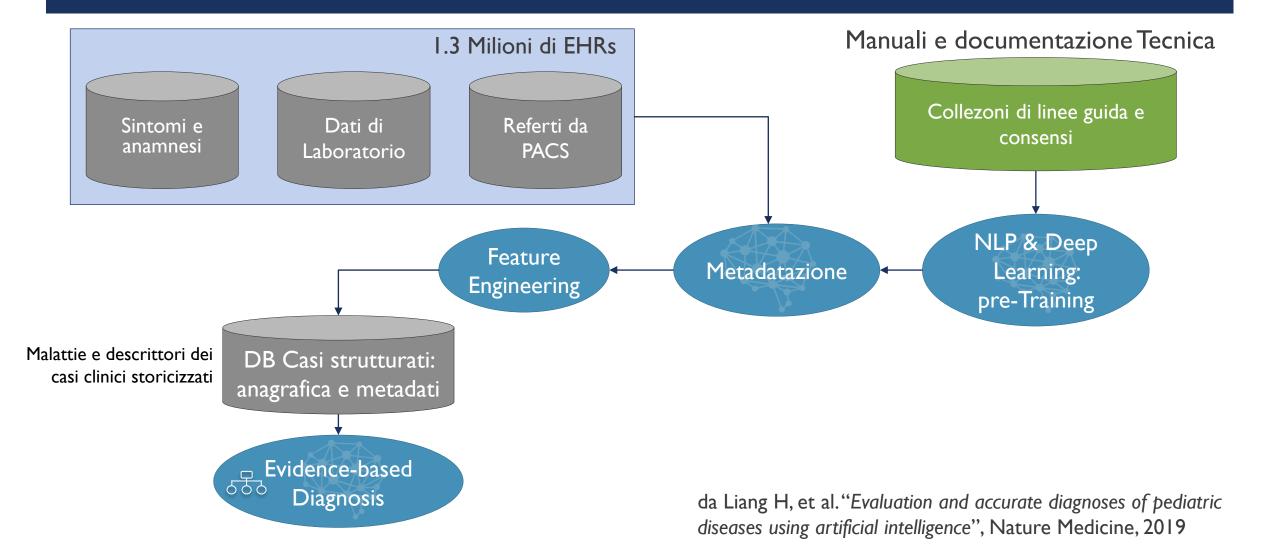
#### **BANKING: ABILABERT IN DECODE**

- 5 banche coordinate da ABILAB
- Una Process Taxonomy condivisa e differenti Basi di Dati Documentali
- Automatic Text-driven Process Mapping basato su reti neurali Trasformers

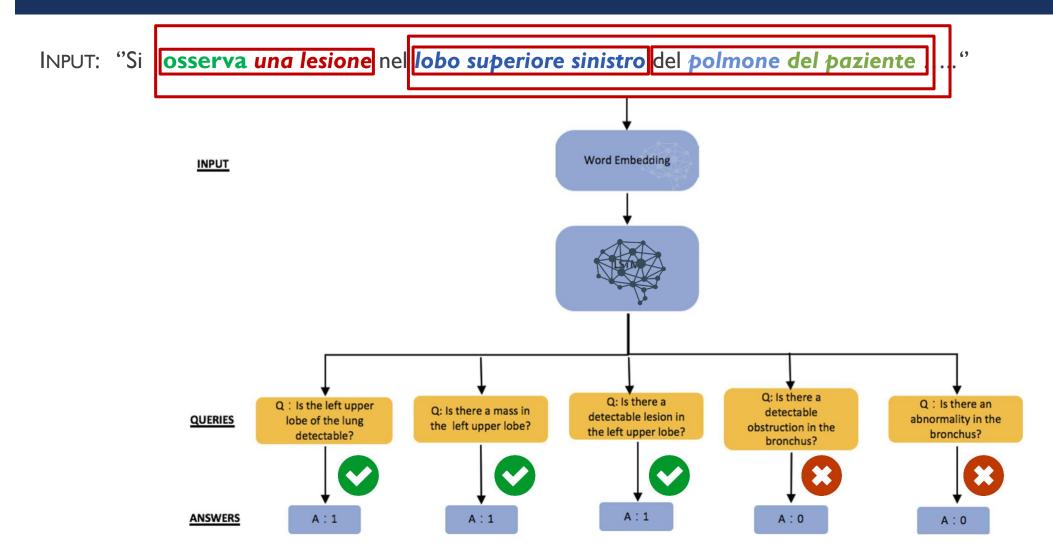




#### DIAGNOSI MALATTIE PEDIATRICHE: UN WORKFLOW ORIENTATO AL ML



#### MEDICAL INFORMATION EXTRACTION



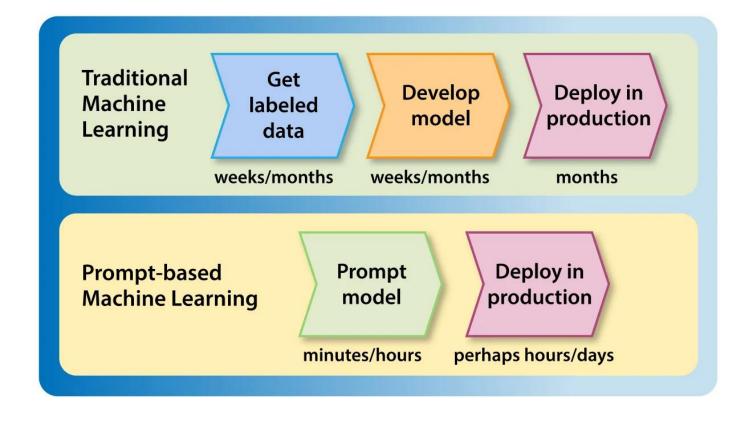
## EVIDENCE BASED DIAGNOSIS: RISULTATI (11,926 PAZIENTI)

Disease conditions	Our model	Physicians				
		Physician group 1	Physician group 2	Physician group 3	Physician group 4	Physician group 5
Asthma	0.920	0.801	0.837	0.904	0.890	0.935
Encephalitis	0.837	0.947	0.961	0.950	0.959	0.965
Gastrointestinal disease	0.865	0.818	0.872	0.854	0.896	0.893
Group: 'Acute laryngitis'	0.786	0.808	0.730	0.879	0.940	0.943
Group: 'Pneumonia'	0.888	0.829	0.767	0.946	0.952	0.972
Group: 'Sinusitis'	0.932	0.839	0.797	0.896	0.873	0.870
Lower respiratory	0.803	0.803	0.815	0.910	0.903	0.935
Mouth-related diseases	0.897	0.818	0.872	0.854	0.896	0.893
Neuropsychiatric disease	0.895	0.925	0.963	0.960	0.962	0.906
Respiratory	0.935	0.808	0.769	0.89	0.907	0.917
Systemic or generalized	0.925	0.879	0.907	0.952	0.907	0.944
Upper respiratory	0.929	0.817	0.754	0.884	0.916	0.916
Root	0.889	0.843	0.863	0.908	0.903	0.912
Average F1 score	0.885	0.841	0.839	0.907	0.915	0.923

## LARGE LANGUAGE MODELS

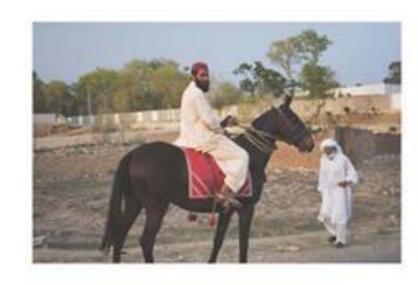
**TRENDS** 

## TRENDS ...



#### **RIFLESSIONI**

- Competenza, Razionalità ed Onniscenza
  - Un sistema di Al generativa ha una SIGNIFICATIVA COMPETENZA LINGUISTICA in analogia con i parlanti delle diverse lingue in cui esso è stato addestrato
  - E' RAZIONALE in senso linguistico poiché conosce le regole della comunicazione e le usa in modo utile
  - NON è ONNISCENTE
    - Errori di senso comune
    - Mostra talvolta incompetenza
    - Non è esperto dei diversi domini
  - NON è sempre completamente coerente
    - Allucinazioni



A person on a horse



A person on a horse?

Raphael - Saint George Fighting the Dragon

Raphael, Public domain, via Wikimedia Commons

## LLMS: POTENZIALITÀ E RISCHI



Enorme flessibilità nella comprensione e generazione linguistica



Capacità di affrontare nuovi task attraverso il prompting



- Forte capacità di specializzazione verso fenomeni semantici specifici (domini, enciclopedie, dati in tempo reale)



Facile integrazione con competenze in altri ambiti cognitivi (machine vision)



Forti limiti nella capacità di certificare i comportamenti linguistici



Bulimia computazionale



Limitata analogia con i processi cognitivi

#### INTEGRAZIONE DI CONOSCENZA E LLMS: RAG MODELS

- Retrieval Augmented Generation
  - A generation time si rende disponibile una informazione di contesto che qualifica la risposta
  - Essenziale per task knowledge intensive
  - Si applica sia al pre-training che al fine-tuning ed al prompting
  - Ha mostrato di mitigare le allucinazioni

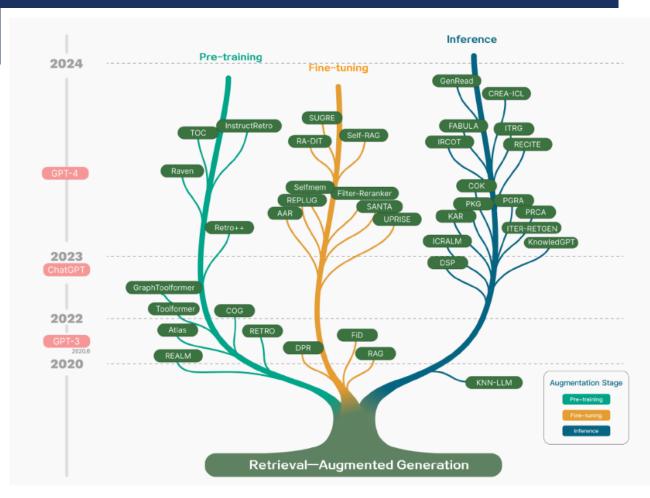


Figure 1: Technology tree of RAG research development featuring representative works

(Lewis et al, 2020) Retrieval-augmented generation for knowledge-intensive NLP tasks. Proceedings of NIPS, Advances in Neural Information Processing Systems, 2020.

#### RAG MODELS: IL PROBLEMA E L'IDEA

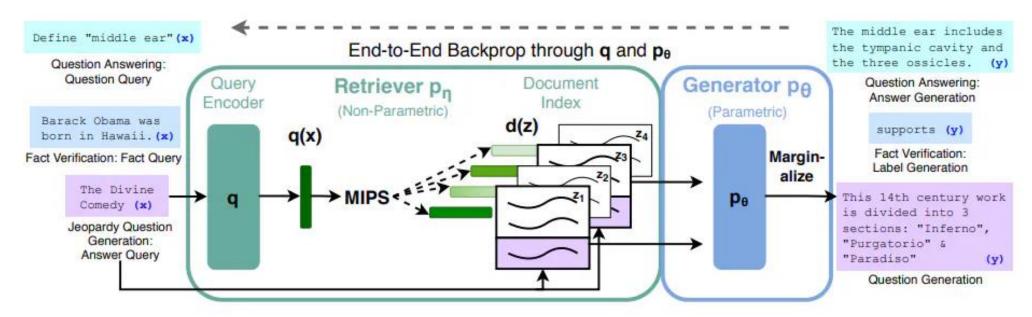
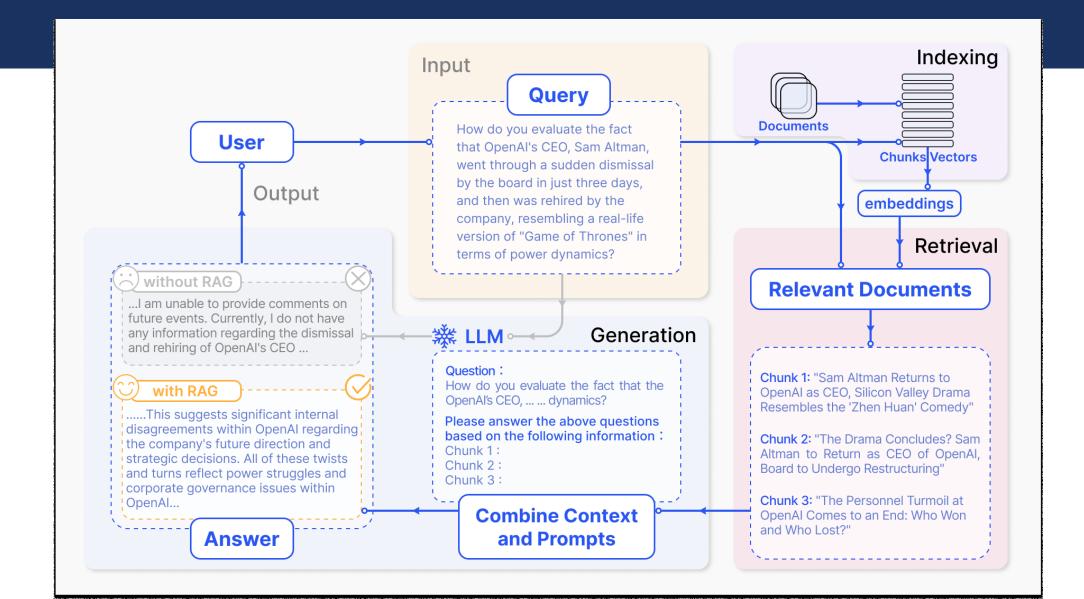


Figure 1: Overview of our approach. We combine a pre-trained retriever (Query Encoder + Document Index) with a pre-trained seq2seq model (Generator) and fine-tune end-to-end. For query x, we use Maximum Inner Product Search (MIPS) to find the top-K documents  $z_i$ . For final prediction y, we treat z as a latent variable and marginalize over seq2seq predictions given different documents.

#### RAG MODELS: IL FLUSSO INFORMATIVO



#### A RAG TAXONOMY

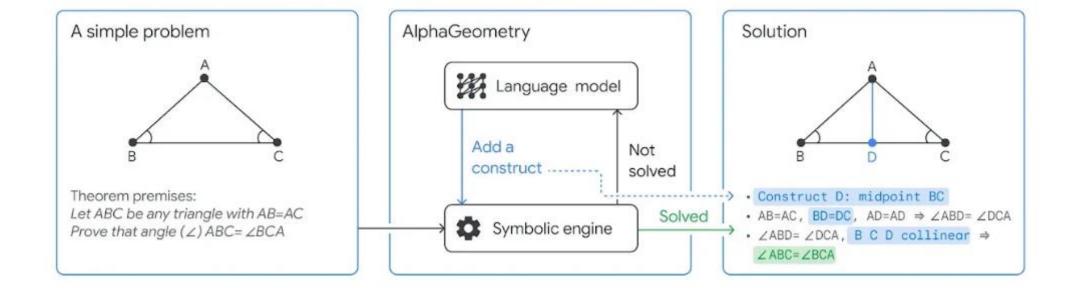
Research is active in different directions

Retrieval-Augmented Generation

- Retrieval
- Generation
- Textual, Logical and Procedural Augmentation
- DBs or KG are often explored as information sources

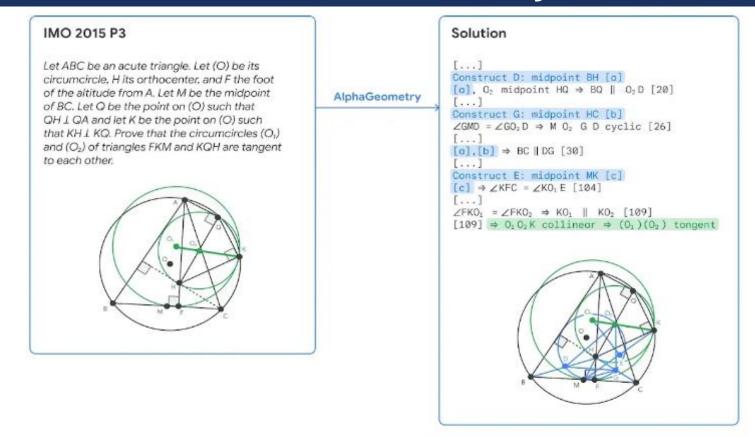
**Chunk Optimization Better Semantic Representation** Fine-tuning Embedding Model **Query Rewriting** Retriever (§4) Align Queries and documents **Embedding Transformation** Plugin Adapter Align Retriever and LLM **LLM Supervised Training** Information Compression Post-retrieval with Frozen LLM Rerank Generator (§5) **General Optimization Process** Fine-tuning LLM for RAG **Utilizing Contrastive Learning** Pre-training **Augmentation Stage** Fine-tuning Inference Unstructured Data Augmentation Method(§6) **Augmentation Source** Structured Data **LLM Generated Content** Once Retrieval **Augmentation Process Iterative Retrieval** Recursive Retrieval Adaptive Retrieval

## ALPHAGEOMETRY (GOOGLE DEEPMIND, JAN 2024)



Trinh, Trieu H., Wu Yuhuai, Le Quoc V., He He, Luong Thang, Solving olympiad geometry without human demonstrations, Nature, 625, 2024.

## ALPHAGEOMETRY (GOOGLE DEEPMIND, JAN 2024)



Problem 3 of the 2015 International Mathematics Olympiad (left) and a condensed version of AlphaGeometry's solution (right). The blue elements are added constructs. AlphaGeometry's solution has 109 logical steps.

<u>Trinh, Trieu H., Wu Yuhuai, Le Quoc V., He He, Luong Thang, Solving olympiad geometry without human demonstrations, Nature, 625, 2024.</u>

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- An interesting introduction to the attention mechanism:
  - All you need to know about 'Attention' and 'Transformers' In-depth Understanding Part I, A. Sarkar, URL: <a href="https://towardsdatascience.com/all-you-need-to-know-about-attention-and-transformers-in-depth-understanding-part-I-552f0b4Id02I#4cI6">https://towardsdatascience.com/all-you-need-to-know-about-attention-and-transformers-in-depth-understanding-part-I-552f0b4Id02I#4cI6</a>
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## GRAZIE DELL'ATTENZIONE

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